

# Approximation Algorithms for Multiprocessor Energy-Efficient Scheduling of Periodic Real-Time Tasks with Uncertain Task Execution Time\*

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## Abstract

*Energy-efficiency has been an important system issue in hardware and software designs for both real-time embedded systems and server systems. This research explores systems with probabilistic distribution on the execution time of real-time tasks on homogeneous multiprocessor platforms with the capability of dynamic voltage scaling (DVS). The objective is to derive a task partition which minimizes the expected energy consumption for completing all the given tasks in time. We give an efficient 1.13-approximation algorithm and a polynomial-time approximation scheme (PTAS) to provide worst-case guarantees for the strongly  $\mathcal{NP}$ -hard problem. Experimental results show that the algorithms can effectively minimize the expected energy consumption.*

**Keywords:** Dynamic Voltage Scaling (DVS), Multiprocessor Scheduling, Probability, Expected Energy Consumption Minimization, Energy-Efficient Scheduling.

## 1 Introduction

With the advancements in VLSI circuit designs, modern processors can operate dynamically at different supply voltages, which lead to different execution speeds/frequencies. Well-known examples for embedded systems are Intel StrongARM SA1100 and Intel XScale. Technologies, such as Intel SpeedStep<sup>®</sup> and AMD PowerNOW!<sup>™</sup>, provide dynamic voltage scaling (DVS) for computer systems to prolong battery life. In the past decade, energy-efficient task scheduling has received a lot of attention. Many studies, such as [4, 16, 30], explore DVS scheduling to minimize the energy consumption when the tasks/jobs are executed in their worst cases.

In addition to worst-case estimations, profiling can also help system designers get the distribution information of the workload of a task. Given the probability distribution of workload, some previous studies [5, 11, 13, 18, 19, 26, 27, 31, 33] provide DVS scheduling strategies to reduce the *expected* energy consumption. Lorch and Smith [18] derived an accelerating

frequency scheduling by executing a task at a lower frequency at the beginning and at higher frequencies for the rest, while concurrent tasks were treated as joint workload. Gruian [11] considered the scheduling of multiple tasks and allocated execution time to tasks based on their worst-case execution cycles. Yuan and Nahrstedt [31] exploited the accelerating scheduling strategy for soft real-time multimedia tasks. Xu et al. [26, 27], Zhang et al. [33], and Lu et al. [19], and Chen [5] explored inter-task scheduling for frame-based real-time tasks, in which all tasks have a common deadline and arrive at the same time.

Moreover, implementations of real-time systems with multiple processors are often more energy-efficient than those with a single processor because of the convexity of power consumption functions [2]. Various heuristics have been proposed for energy consumption minimization under different task and processor models in multiprocessor environments [1, 3, 6–8, 12, 14, 15, 20, 24, 25, 29, 32].

This paper explores task partition and scheduling for the minimization of expected energy consumption in homogeneous multiprocessor systems with the capability of dynamic voltage scaling. The objective is to minimize the expected energy consumption for completing all the given tasks in time. This problem was first explored by Xian, Lu, and Li [25], in which a heuristic algorithm was proposed by applying a variation of the worst-fit decreasing bin packing algorithm for balancing load with respect to a mathematical parameter related to expected energy consumption. Distinct from heuristic approaches, this paper gives polynomial-time approximation algorithms for the strongly  $\mathcal{NP}$ -hard problem to provide worst-case guarantees in the expected energy consumption of the derived solutions.

The dynamic (or speed-dependent) power consumption function, here, is modeled as  $s^\alpha$ , where  $s$  is the processor speed and  $\alpha$  is a hardware-dependent factor between 1 and 3. We show that an extension of the load-balancing approach suggested by Xian, Lu, and Li [25] with  $O(|\mathbf{T}| \log |\mathbf{T}|)$  time complexity is a  $\frac{(\alpha-1)^{\alpha-1}(3^\alpha-2^\alpha)^\alpha}{(2 \cdot 3^\alpha - 3 \cdot 2^\alpha)^{\alpha-1} \alpha^\alpha}$ -approximation algorithm, where  $\mathbf{T}$  is the set of the given real-time tasks. Since  $\alpha$  is at most 3, the approximation ratio is at most 1.13. In addition to the derivation of the approximation ratio, we also give the physical meaning, i.e., estimated utilization, of the load-

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balancing approach to see why it works, while only mathematical meaning was provided by Xian, Lu, and Li [25]. Moreover, by rounding the estimated worst-case utilization of tasks, we develop a polynomial-time approximation scheme (PTAS) to provide a  $(1 + \zeta)$ -approximated solution for any  $1 > \zeta > 0$  for such a strongly  $\mathcal{NP}$ -hard problem, which is the best achievement in the development of polynomial-time approximation algorithms unless  $\mathcal{NP} = \mathcal{P}$ . The proposed polynomial-time approximation scheme allows the system designer to trade the optimality of the derived solution with the analysis time. Experimental results show that the algorithms can effectively minimize the expected energy consumption and derive solutions with near-optimal performance.

The rest of this paper is organized as follows. Section 2 defines the system models and the problem under considerations. Section 3 presents the polynomial-time approximation algorithm and the approximation scheme for the studied problem. Section 4 shows the performance evaluation of the algorithms with respect to the expected energy consumption. Section 5 concludes this paper.

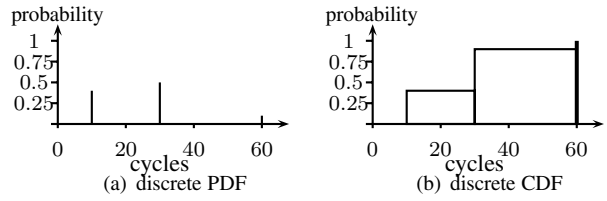
## 2 Systems models and problem definitions

**Processor models** We explore energy-efficient scheduling over  $M$  homogeneous DVS multiprocessors, where the power consumption function of each task is the same for every processor. The power consumption function  $P(s)$  of the adopted processor speed  $s$  has two parts  $P_d(s)$  and  $P_{ind}$ , where  $P_d(s)$  ( $P_{ind}$ , respectively) is dependent (independent, respectively) on speed  $s$ . Leakage power consumption mainly contributes to  $P_{ind}$ , while the dynamic power consumption resulting from the charging/discharging of gates on a CMOS DVS processor and the short-circuit power consumption contribute to  $P_d(s)$ . The speed-dependent power consumption function  $P_d(s)$  could be modeled as a convex and increasing function of speed  $s$ . For example, the dynamic power consumption  $P_{switch}(s)$ , which dominates the power consumption in function  $P_d(s)$  for processors in micro-meter manufacturing, in CMOS DVS processors due to gate switching at speed  $s$  is

$$P_{switch}(s) = C_{ef} V_{dd}^2 s, \quad (1)$$

where  $s = \kappa \frac{(V_{dd} - V_t)^2}{V_{dd}}$ , and  $C_{ef}$ ,  $V_t$ ,  $V_{dd}$ , and  $\kappa$  denote the effective switch capacitance, the threshold voltage, the supply voltage, and a hardware-design-specific constant, respectively ( $V_{dd} \geq V_t \geq 0$ ,  $\kappa > 0$ , and  $C_{ef} > 0$ ) [21]. If the leakage power consumption is related to the speeds/voltages the leakage power consumption is divided into two parts that contribute to  $P_d(s)$  and  $P_{ind}$  accordingly. In other words,  $P_d(s)$  models the voltage-dependent power consumption while  $P_{ind}$  models the voltage-independent power consumption [15].

As shown in the literature, for example [4, 16, 30], the speed-dependent power consumption function can be phrased as  $s^\alpha$ , where  $\alpha$  is a hardware-dependent factor between 1 and 3. Therefore,  $P_d(s)$  is a convex and increasing function of  $s$ . The number of cycles executed in an interval  $(t_1, t_2]$  is  $\int_{t_1}^{t_2} s(t) dt$ , and the energy consumption is  $\int_{t_1}^{t_2} P(s(t)) dt$ , where  $s(t)$  is the speed at time  $t$ . Since the variation of execution speeds does not affect the energy consumption resulting



**Figure 1. An example for the probability function of workload information of a task.**

from the speed-independent power consumption, we do not account for the speed-independent power consumption in our theoretical analysis for the clarity of presentation. Hence, the power consumption function  $P(s)$  at speed  $s$  on a processor, here-after, is  $P_d(s)$ . All the algorithms and analysis in this paper can be adopted correctly when  $P(s)$  is  $P_d(s) + P_{ind}$ .

We assume that the speed of each processor can be adjusted independently, and each processor can ideally operate at any speed in  $[0, \infty)$  implicitly. To cope with systems with an upper bound on the speeds, constraint violation approaches [17] might be used since deriving a feasible solution is  $\mathcal{NP}$ -complete. We will sketch the idea in Section 3.4.

**Task models** Tasks considered in this paper are periodic and independent in execution. A periodic task is an infinite sequence of task instances, referred to as *jobs*, where each job of a task comes in a regular period. Each task  $\tau_i$  is associated with its period (denoted as  $p_i$ ) and its computation requirement in CPU cycles in the worst cases (denoted as  $c_i$ ). The relative deadline of each task  $\tau_i$  is equal to its period  $p_i$ . Given a task set  $\mathbf{T}$ , the *hyper-period* of  $\mathbf{T}$ , denoted by  $L$ , is defined as the minimum positive  $L$  so that  $L/p_i$  is an integer for any task  $\tau_i$  in  $\mathbf{T}$ . For example,  $L$  is the least common multiple (LCM) of the periods of tasks in  $\mathbf{T}$  when the periods of tasks are all integers. Note that the hyper-period is used only for the length of the time interval to evaluate the expected energy consumption. If it does not exist, we can use any value that is large enough with the same analytical results. Throughout the paper, we use the earliest-deadline-first (EDF) scheduling for task executions.

The computation requirement of task  $\tau_i$  is profiled as a discrete probability density function (discrete PDF) for the number of execution cycles. For each task  $\tau_i$ , the range  $(0, c_i]$  is divided into  $\beta_i$  bins with different sizes. The  $j$ -th bin of task  $\tau_i$  is associated with its amount of cycle  $X_{i,j}$  and its probability density  $\psi_i(j)$ . Figure 1 illustrates an example of a task  $\tau_i$  with  $\beta_i = 3$ , where  $X_{i,1} = 10$ ,  $X_{i,2} = 20$ , and  $X_{i,3} = 30$  with  $\psi_i(1) = 0.4$ ,  $\psi_i(2) = 0.5$ , and  $\psi_i(3) = 0.1$ . Therefore, the probability for task  $\tau_i$  with  $\sum_{b=1}^j X_{i,b}$  cycles is  $\psi_i(j)$ . The discrete cumulative density function (CDF) for task  $\tau_i$  to have cycles no more than  $\sum_{b=1}^j X_{i,b}$  is  $\Psi_i(j) = \sum_{b=1}^j \psi_i(b)$ . By definition,  $\Psi_i(\beta_i) = 1$  and  $\sum_{b=1}^{\beta_i} X_{i,b} = c_i$ . For notational brevity, we define  $\Psi_i(0)$  as 0. Therefore, the probability that the schedule has to execute the first  $\sum_{b=1}^j X_{i,b}$  cycles of task  $\tau_i$  is  $1 - \Psi_i(j-1)$ , denoted by  $\Psi_i^\dagger(j)$ . Figure 1(a) shows the discrete PDF, while Figure 1(b) is the discrete CDF. Hence,  $\Psi_i^\dagger(1) = 1$ ,  $\Psi_i^\dagger(2) = 0.6$ , and  $\Psi_i^\dagger(3) = 0.1$  in the example.

**Expected Energy Consumption** The expected energy consumption, denoted by  $\hat{E}_i(t_i)$ , to complete a job of task  $\tau_i$  in time amount  $t_i$  in the worst case can be derived by solving the following convex programming:

$$\begin{aligned} & \text{minimize} && \sum_{b=1}^{\beta_i} s_{i,b}^\alpha \frac{X_{i,b}}{s_{i,b}} \Psi_i^\dagger(b) \\ & \text{subject to} && \sum_{b=1}^{\beta_i} \frac{X_{i,b}}{s_{i,b}} \leq t_i \text{ and} \\ & && s_{i,b} \geq 0, \forall b = 1, 2, \dots, \beta_i, \end{aligned} \quad (2)$$

where  $s_{i,b}$  is the speed to execute the computation requirement  $X_{i,b}$ . Equation (2) can be solved by applying the Lagrange Multiplier method, similarly to those in [25, 31]. The optimal solution of Equation (2) is to execute  $X_{i,j}$  at speed  $\frac{\sum_{b=1}^{\beta_i} X_{i,b} \sqrt[\alpha]{\Psi_i^\dagger(b)}}{t_i \sqrt[\alpha]{\Psi_i^\dagger(j)}}$  with expected energy consumption equal to  $\frac{(\sum_{b=1}^{\beta_i} X_{i,b} \sqrt[\alpha]{\Psi_i^\dagger(b)})^\alpha}{t_i^{\alpha-1}}$ . For notational brevity, let  $h_i$  be  $(\sum_{b=1}^{\beta_i} X_{i,b} \sqrt[\alpha]{\Psi_i^\dagger(b)})^\alpha$ . Hence, the optimal expected energy consumption  $\hat{E}_i(t_i)$  to complete a job of task  $\tau_i$  in time  $t_i$  is  $\frac{h_i}{t_i^{\alpha-1}}$ . Moreover, the expected energy consumption  $E_i(t_i)$  in the hyper-period  $L$  for task  $\tau_i$  is  $\hat{E}_i(t_i) \frac{L}{p_i}$ .

Note that if only one speed is allowed (to avoid too much speed switching) to execute task  $\tau_i$  for a time interval with  $t_i$  time units, the expected energy consumption is  $\frac{h_i}{t_i^{\alpha-1}}$ , where  $h_i$  is  $c_i^{\alpha-1} \sum_{b=1}^{\beta_i} X_{i,b} \Psi_i^\dagger(b)$  by executing task  $\tau_i$  at speed  $\frac{c_i}{t_i}$  [27].

**Problem Definition** A *schedule* of a task set  $\mathbf{T}$  is a mapping of the executions (task partition) of tasks in  $\mathbf{T}$  to processors in the system with an assignment of processor speeds for each corresponding task execution, where the job arrivals of each task  $\tau_i \in \mathbf{T}$  satisfy its timing constraint  $p_i$ . A schedule is *feasible* if no job misses its deadline, and all jobs of the same task execute on the same processor. By applying EDF for scheduling, the problem can be formulated as the following programming:

$$\begin{aligned} & \text{minimize} && \sum_{\tau_i \in \mathbf{T}} \hat{E}_i(t_i) \frac{L}{p_i} \\ & \text{subject to} && \sum_{\tau_i \in \mathbf{T}} x_{im} \cdot t_i / p_i \leq 1, \text{ for } m = 1, \dots, M \\ & && \sum_{m=1}^M x_{im} = 1, \forall \tau_i \in \mathbf{T}, \text{ and} \\ & && x_{im} \in \{0, 1\}, \forall \tau_i \in \mathbf{T}, \text{ and } m = 1, \dots, M, \end{aligned} \quad (3)$$

where  $x_{im}$  is a binary variable to indicate whether  $\tau_i$  is assigned on processor  $m$ ,  $t_i$  is a variable denoting the execution time of task  $\tau_i$ , and  $\hat{E}_i(t_i)$  is  $\frac{h_i}{t_i^{\alpha-1}}$ . We denote the problem as the *multiprocessor expected-energy-efficient scheduling* problem. The expected energy consumption of a schedule  $S$  is denoted by  $\Phi(S)$ . By an argument similar to [6, Theorem 1], the multiprocessor expected-energy-efficient scheduling problem is  $\mathcal{NP}$ -hard in a strong sense even for the special case with  $P_i(s) = s^3$ ,  $\beta_i = 1$ , and  $p_i = D$  for any fixed  $D > 0$ .

Due to the  $\mathcal{NP}$ -hardness of the problem, we focus the study on polynomial-time approximation algorithms with worst-case guarantees. For any input instance, a  $\gamma$ -approximation algorithm derives a solution with at most  $\gamma$  times of the expected energy consumption of an optimal solution, where  $\gamma$  is referred to as the *approximation ratio* of the algorithm. This paper provides a combinatorial approximation algorithm with

low time complexity. Moreover, a polynomial-time approximation scheme (PTAS) is provided to have trade-offs between the user's tolerable approximation ratio and the complexity. An algorithm for a minimization problem is said to be a PTAS if (1) it is a  $(1 + \zeta)$ -approximation algorithm, and (2) its time complexity is polynomial in the input size by treating  $\zeta$  as a constant, where  $\zeta$  is a positive user-input parameter in a specified range. For a  $\mathcal{NP}$ -hard problem in a strong sense, PTAS is the best achievement in approximation algorithms [23].

### 3 Polynomial-Time Approximation algorithms

This section presents polynomial-time approximation algorithms for the multiprocessor expected-energy-efficient scheduling problem. Our proposed algorithms consist of two phases. In the first phase, the relaxation phase, we relax the integral constraints on the variables  $x_{im}$  in Equation (3) and derive an optimal solution for the relaxed problem, which will be presented in Section 3.1. Then, a feasible schedule based on the optimal solution of the relaxed problem are derived in the second phase, the assigning phase. This paper presents two different algorithms with different approximation ratios in the assigning phase, in which one presented in Section 3.2 is more efficient and with a constant approximation ratio, and the other in Section 3.3 is with adjustable tradeoffs between the complexity and the approximation ratio.

If the number of tasks in  $\mathbf{T}$  is no more than  $M$ , an optimal schedule would execute each task  $\tau_i$  on an individual processor, for  $i = 1, \dots, |\mathbf{T}|$ . For the rest of this section, we will focus on the other cases, where the number of tasks in  $\mathbf{T}$  is more than  $M$ . Let  $S$  be a feasible schedule of  $\mathbf{T}$  for the multiprocessor expected-energy-efficient scheduling problem. Let  $S_m$  denote the partial schedule of  $S$  on processor  $m$ , and  $\mathbf{T}_m$  denote the set of tasks assigned to execute on processor  $m$ . Hence,  $\cup_{m=1}^M \mathbf{T}_m = \mathbf{T}$  and  $\mathbf{T}_m \cap \mathbf{T}_n = \emptyset$  for any  $m \neq n$ .

#### 3.1 Relaxation

With the integral constraints on  $x_{im}$  being relaxed, the convex programming described in Equation (3) is rewritten as:

$$\begin{aligned} & \text{minimize} && \sum_{\tau_i \in \mathbf{T}} E_i(t_i), \\ & \text{subject to} && \sum_{\tau_i \in \mathbf{T}} t_i / p_i = M, \text{ and} \\ & && 0 < t_i \leq p_i, \end{aligned} \quad (4)$$

where  $E_i(t_i)$  is  $\hat{E}_i(t_i) \frac{L}{p_i}$ . Let  $\bar{E}_i(\cdot)$  be defined as  $-E_i(\cdot)$ . The Karush-Kuhn-Tucker (KKT) optimality condition for Equation (4) is to find  $(\lambda_1, \lambda_2, \dots, \lambda_{|\mathbf{T}|})$ ,  $(t_1^*, t_2^*, \dots, t_{|\mathbf{T}|}^*)$ , and a constant  $\bar{\lambda}$  such that

$$\left\{ \begin{aligned} & \left\{ \begin{aligned} & \bar{E}_i'(t_i^*) - \lambda_i / p_i = \lambda / p_i, \quad t_i^* / p_i \leq 1, \quad \forall \tau_i \in \mathbf{T}, \text{ and} \\ & (t_i^* / p_i - 1) \lambda_i = 0, \quad \lambda_i \geq 0, \end{aligned} \right. \\ & \sum_{\tau_i \in \mathbf{T}} t_i^* / p_i = M, \end{aligned} \right. \quad (5)$$

where  $\bar{E}_i'(\cdot)$  is the derivative of  $\bar{E}_i(\cdot)$ .

If  $t_i^*$  is set as  $p_i$  for  $i = 1, 2, \dots, \ell$ , Equation (4) can be relaxed as follows.

$$\begin{aligned} & \text{maximize} && \sum_{i=\ell+1}^{|\mathbf{T}|} \bar{E}_i(t_i) \\ & \text{subject to} && \sum_{i=\ell+1}^{|\mathbf{T}|} t_i / p_i = (M - \ell), \end{aligned} \quad (6)$$

by further ignoring the inequality  $t_i \leq p_i$ . Equation (6) can be solved by applying the Lagrange Multiplier method. Since  $\bar{E}'_i(t_i) = \frac{L}{p_i}(\alpha - 1)h_i t_i^{-\alpha}$ , given an index  $\ell$ , the conditions  $p_i \bar{E}'_i(t_i) = p_j \bar{E}'_j(t_j)$  hold for all  $\ell < i, j \leq |\mathbf{T}|$  for the Lagrange Multiplier method. Therefore, the optimal solution for Equation (6) is to assign  $(t_{\ell+1}, t_{\ell+2}, \dots, t_{|\mathbf{T}|})$  as  $(t_{\ell+1}^*, t_{\ell+2}^*, \dots, t_{|\mathbf{T}|}^*)$ , where

$$\sum_{j=\ell+1}^{|\mathbf{T}|} \frac{t_{\ell+1}^*}{p_j} \alpha \sqrt{\frac{h_j}{h_{\ell+1}}} = (M - \ell), \quad (7a)$$

$$t_j^* = (t_{\ell+1}^*) \alpha \sqrt{\frac{h_j}{h_{\ell+1}}}, \forall \ell + 1 < j \leq |\mathbf{T}|, \quad (7b)$$

and the Lagrange multiplier  $\lambda$  is  $p_{\ell+1} \bar{E}'_{\ell+1}(t_{\ell+1}^*)$ . Let  $\mathbf{T}$  be a sorted set by a *non-increasing* order of  $p_i \bar{E}'_i(p_i)$ . The following lemma helps obtain an optimal solution for Equation (4).

**Lemma 1** *Suppose that each  $t_j^*$  in  $(t_{\ell^*+1}^*, t_{\ell^*+2}^*, \dots, t_{|\mathbf{T}|}^*)$  obtained in Equation (7) is less than  $p_j$  for an index  $\ell^*$  and that  $p_{\ell^*} \bar{E}'_{\ell^*}(p_{\ell^*})$  is no less than  $p_{\ell^*+1} \bar{E}'_{\ell^*+1}(t_{\ell^*+1}^*)$ , where  $1 \leq \ell^* < M$ . Then, assigning  $t_i$  as  $p_i$  for  $i = 1, 2, \dots, \ell^*$  and  $t_j$  as  $t_j^*$  for  $j = \ell^* + 1, \ell^* + 2, \dots, |\mathbf{T}|$  leads to an optimal solution for Equation (4).*

**Proof.** It is proved by verifying that all conditions in Equation (5) hold when (1.)  $\lambda = p_{\ell^*+1} \bar{E}'_{\ell^*+1}(t_{\ell^*+1}^*)$ , (2.)  $\lambda_j = 0$ , for  $j = \ell^* + 1, \ell^* + 2, \dots, |\mathbf{T}|$ , and (3.)  $\lambda_i = p_i \bar{E}'_i(p_i) - p_{\ell^*+1} \bar{E}'_{\ell^*+1}(t_{\ell^*+1}^*)$ , for  $i = 1, 2, \dots, \ell^*$ .  $\square$

Therefore, the optimal solution for Equation (4) can be obtained in  $O(M|\mathbf{T}| + |\mathbf{T}| \log |\mathbf{T}|)$  by setting  $\ell$  sequentially. Moreover, it can be obtained in  $O(|\mathbf{T}| \log |\mathbf{T}|)$  by a binary search of  $\ell$ . Let  $(t_1^*, t_2^*, \dots, t_{|\mathbf{T}|}^*)$  be an optimal solution for the programming in Equation (4). We have the following lemma.

**Lemma 2** *When  $t_i^* < p_i$  and  $t_j^* < p_j$ ,  $p_i E'_i(t_i^*) = p_j E'_j(t_j^*)$ , where  $E'_i()$  and  $E'_j()$  are the derivatives of  $E_i()$  and  $E_j()$ , respectively.*

**Proof.** The lemma comes from the fact that  $E'_i(t_i^*) = \frac{-\lambda}{p_i}$  and  $E'_j(t_j^*) = \frac{-\lambda}{p_j}$  for a constant  $\lambda$  when  $t_i^* < p_i$  and  $t_j^* < p_j$ .  $\square$

### Properties for the derived solution in the relaxation phase

In addition to the optimality of the derived solutions in the relaxation phase, the solutions have some interesting properties, which will be specified later in this subsection and widely used in this paper. For the rest of this paper, let the utilization  $u_i^* = t_i^*/p_i$  of task  $\tau_i$  in  $\mathbf{T}$  derived in this relaxation phase be defined as the *estimated utilization* of  $\tau_i$ , and  $e_i^*$  be the *estimated expected energy consumption* of the jobs of task  $\tau_i$  in the hyper-period, i.e.,  $e_i^* = E_i(t_i^*)$ . Let  $\mathbf{T}'$  be the subset of  $\mathbf{T}$  consisting of the tasks whose estimated utilizations are strictly less than 1. That is,  $\mathbf{T}' = \{\tau_i \mid t_i^*/p_i < 1, \forall \tau_i \in \mathbf{T}\}$ . For notational brevity, let  $\hat{\mathbf{T}}$  be  $\mathbf{T} \setminus \mathbf{T}'$ . The following lemma concerning the relationship between two tasks in task set  $\mathbf{T}'$  will be widely used in this paper.

**Lemma 3** *For any two tasks  $\tau_i, \tau_j \in \mathbf{T}'$ ,  $\frac{e_i^*}{u_i^*} = \frac{e_j^*}{u_j^*}$ .*

**Proof.** By the equality of  $h_i \frac{L}{p_i} \frac{1}{(t_i^*)^\alpha} \cdot p_i = h_j \frac{L}{p_j} \frac{1}{(t_j^*)^\alpha} \cdot p_j$  in Lemma 2, we know that  $\frac{u_i^*}{u_j^*} = \frac{e_i^*}{e_j^*}$ .  $\square$

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### Algorithm 1 : LEUF

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**Input:**  $(\mathbf{T}, M)$ ;  
1: **if**  $|\mathbf{T}| \leq M$  **then**  
2:   return the schedule to execute each task  $\tau_i$  in  $\mathbf{T}$  on processor  $i$ ;  
3: determine the optimal solution for Equation (4), and obtain  $u_i^*$  for every  $\tau_i \in \mathbf{T}$ ;  
4: sort  $\mathbf{T}$  in a non-increasing order of their estimated utilizations;  
5:  $U_1 \leftarrow \dots \leftarrow U_M \leftarrow 0$ , and  $\mathbf{T}_1 \leftarrow \dots \leftarrow \mathbf{T}_M \leftarrow \emptyset$ ;  
6: **for**  $i \leftarrow 1$  to  $|\mathbf{T}|$  **do**  
7:   find the smallest  $U_m$ ; (break ties by choosing the smallest index  $m$ )  
8:    $\mathbf{T}_m \leftarrow \mathbf{T}_m \cup \{\tau_i\}$  and  $U_m \leftarrow U_m + u_i^*$ ;  
9: return the schedule  $S_{\text{LEUF}}$  which executes task  $\tau_i$  in  $\mathbf{T}_m$  ( $1 \leq m \leq M$ ) on processor  $m$ ;

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Suppose that  $\phi(\mathbf{T}^\dagger)$  is the minimum expected energy consumption in the hyper-period of the tasks in  $\mathbf{T}$  to complete all the tasks in task set  $\mathbf{T}^\dagger$  in time on a processor. By applying the KKT optimality condition,  $\phi(\mathbf{T}^\dagger)$  is equal to  $(\sum_{\tau_i \in \mathbf{T}^\dagger} e_i^*) (\sum_{\tau_i \in \mathbf{T}^\dagger} u_i^*)^{\alpha-1}$  when all the tasks in  $\mathbf{T}^\dagger$  are in  $\mathbf{T}'$ . Hence, with Lemma 3, we have  $\phi(\mathbf{T}^\dagger) = \frac{e_r^*}{u_r^*} (\sum_{\tau_i \in \mathbf{T}^\dagger} u_i^*)^\alpha$  for some task  $\tau_r$  in  $\mathbf{T}'$  when  $\mathbf{T}^\dagger \subseteq \mathbf{T}'$ .

Moreover, suppose that  $\mathbf{T}_m^\dagger$  contains at least two tasks to be scheduled on processor  $m$ , the total estimated utilization of  $\mathbf{T}_m^\dagger$  is no less than that of task set  $\mathbf{T}_n^\dagger$ , the total estimated utilization of task set  $\mathbf{T}_n^\dagger$  on processor  $n$  is no more than 1,  $\mathbf{T}_n^\dagger \subseteq \mathbf{T}'$ , and  $\sum_{\tau_\ell \in \mathbf{T}_m^\dagger} u_\ell^* > (\sum_{\tau_\ell \in \mathbf{T}_n^\dagger} u_\ell^*) + u_k^*$ , where  $\tau_k$  is the task with the smallest estimated expected energy consumption in  $\mathbf{T}_m^\dagger$ . We have the following inequality:

$$\phi(\mathbf{T}_m^\dagger) + \phi(\mathbf{T}_n^\dagger) > \phi(\mathbf{T}_m^\dagger \setminus \{\tau_k\}) + \phi(\mathbf{T}_n^\dagger \cup \{\tau_k\}), \quad (8)$$

which indicates the convexity of the estimated expected energy consumption.

**Lemma 4** *There exists an optimal schedule that executes each task  $\tau_i \in \hat{\mathbf{T}}$  entirely on an individual processor.*

**Proof.** It is proved by applying Equation (8) directly.  $\square$

## 3.2 An efficient 1.13-approximation algorithm

We derive a feasible schedule based on the estimated utilizations of tasks derived in the relaxation phase, i.e.,  $(u_1^*, u_2^*, \dots, u_{|\mathbf{T}|}^*)$ , by adopting the *Largest-Estimated-Utilization-First* strategy. The proposed algorithm called LEUF is shown in Algorithm 1. Let  $\mathbf{T}_m$  denote the set of the tasks assigned to processor  $m$ , which is an empty set initially.  $U_m$  denotes the *total estimated utilization* on processor  $m$ , which is defined as the sum of the estimated utilizations of the tasks in  $\mathbf{T}_m$ . Tasks are considered to execute on a selected processor in a non-increasing order of their estimated utilizations. A task under consideration is assigned to processor  $m$  with the smallest total estimated utilization  $U_m$  (Tie-breaking is done by choosing the smallest index  $m$ ). After all of the tasks in  $\mathbf{T}$  are assigned to execute on a specific processor, the utilization of  $\tau_i$  is set as  $\frac{u_i^*}{U_m}$  for every task  $\tau_i$  in  $\mathbf{T}_m$ . That is, the

execution time of every job of task  $\tau_i$  is set as  $\frac{t_i^*}{U_m}$ . The computation requirement  $X_{i,j}$  of task  $\tau_i$  in  $\mathbf{T}_m$  is executed at speed  $U_m \left( \frac{\sum_{b=1}^{\beta_i} X_{i,b} \sqrt{\Psi_i^\dagger(b)}}{t_i^* \sqrt{\Psi_i^\dagger(j)}} \right)$ . The time complexity of Algorithm LEUF is  $O(|\mathbf{T}| \log |\mathbf{T}|)$ . For simplicity of representation, any schedule derived from Algorithm LEUF is denoted by  $S_{\text{LEUF}}$ .

The load-balancing approach in [25] uses the value  $\frac{\sum_{b=1}^{\beta_i} X_{i,b} \sqrt{\Psi_i^\dagger(b)}}{p_i}$ , denoted by *variant load* here, as the load-balancing factor to assign task  $\tau_i$ , where  $X_{i,b}$  was assumed a constant and  $\alpha$  was 3 in [25]. It inserts the un-assigned task with the largest variant load to the processor with the smallest summation of the variant loads of the assigned tasks on it so far until all the tasks are assigned. It is not difficult to see that it derives the same task partition as Algorithm LEUF does. Jensen's Inequality motivates the load-balancing approach adopted in [25], but there was no theoretical performance analysis yet. Here, by using the estimated utilization, we can show that the approach has performance guarantees.

To prove the approximation ratio of Algorithm LEUF, our strategy is to build a lower bound of the expected energy consumption of feasible schedules and an upper bound of the expected energy consumption of the schedule derived from Algorithm LEUF. The upper bound divided by the lower bound is the approximation ratio of Algorithm LEUF.

### Lower bound of the expected energy consumption of feasible schedules

We now derive a lower bound of the multiprocessor expected-energy-efficient scheduling problem for a given task set  $\mathbf{T}$ . The lower bound is based on a relaxation of the considered problem, in which some tasks might be executed simultaneously on more than one processor. Before presenting the lower bound, we first show that Algorithm LEUF derives optimal solutions for some special cases. In such a special case, Algorithm LEUF derives a task partition by assigning at most two tasks on a processor, in which moving any task on a processor to another or switching any two tasks on two processors does not decrease the expected energy consumption.

**Lemma 5** *Algorithm LEUF derives an optimal solution for the multiprocessor expected-energy-efficient scheduling problem if  $|\mathbf{T}'| \leq 2(M - |\hat{\mathbf{T}}|)$  and  $u_{i+M}^* \geq \frac{1}{2}u_{M-i+1}^*$  for all  $1 \leq i \leq |\mathbf{T}'| - M$ .*

**Proof.** Due to space limitations, the detailed proof is in a tech report [10].  $\square$

Based on the optimality of Algorithm LEUF described in Lemma 5, we now derive a lower bound of the expected energy consumption of feasible schedules for any task set  $\mathbf{T}$ . Let  $k^*$  be the largest index  $k$  satisfying  $M \leq k \leq 2(M - |\hat{\mathbf{T}}|)$  and  $u_{i+M}^* \geq \frac{1}{2}u_{M-i+1}^*$  for all  $1 \leq i \leq k - M$ .  $\mathbf{T}^f$  represents the set of the first  $k^*$  tasks of  $\mathbf{T}$ . We introduce another relaxed problem, referred to as the *semi-relaxed multiprocessor expected-energy-efficient scheduling problem*:

$$\begin{aligned} & \text{minimize} && \sum_{\tau_i \in \mathbf{T}} E_i(t_i) \\ & \text{subject to} && \sum_{\tau_i \in \mathbf{T}} x_{im} \cdot t_i / p_i = 1, \text{ for } m = 1, \dots, M \\ & && \sum_{m=1}^M x_{im} = 1, \quad \forall \tau_i \in \mathbf{T}, \\ & && x_{im} \in \{0, 1\}, \quad \forall \tau_i \in \mathbf{T}^f, m = 1, \dots, M, \\ & && x_{im} \geq 0, \quad \forall \tau_i \in \mathbf{T} \setminus \mathbf{T}^f, \text{ and } m = 1, \dots, M. \end{aligned} \quad (9)$$

The optimal solution for the semi-relaxed multiprocessor expected-energy-efficient scheduling problem can be derived efficiently as follows. First of all, we execute Algorithm LEUF( $\mathbf{T}^f, M$ ) to get an optimal partition on  $\mathbf{T}^f$ . For the rest of this subsection, let  $U_1^\dagger, U_2^\dagger, \dots, U_M^\dagger$  be the total estimated utilizations and  $\mathbf{T}_1^\dagger, \mathbf{T}_2^\dagger, \dots, \mathbf{T}_M^\dagger$  be the resulting task partition for  $\mathbf{T}^f$ . Based on Equation (8), we should assign those tasks in  $\mathbf{T} \setminus \mathbf{T}^f$  to the processors with smaller total estimated utilizations as possible. Hence, we find the constant  $U_{\min}^\dagger$  such that  $\sum_{m=1}^M (U_{\min}^\dagger - U_m^\dagger) \delta_{U_{\min}^\dagger > U_m^\dagger} = \sum_{\tau_i \in \mathbf{T} \setminus \mathbf{T}^f} u_i^*$ , where  $\delta_{U_{\min}^\dagger > U_m^\dagger}$  is 1 when  $U_{\min}^\dagger > U_m^\dagger$ , and 0, otherwise. For each  $\tau_i$  in  $\mathbf{T}^f$  assigned to  $\mathbf{T}_m^\dagger$ , let  $x_{im}$  be 1 and  $x_{im'} = 0$  for any  $m' \neq m$ , while  $t_i$  is set as  $p_i \frac{u_i^*}{\max\{U_{\min}^\dagger, U_m^\dagger\}}$ . For each  $\tau_i$  in  $\mathbf{T} \setminus \mathbf{T}^f$ ,  $t_i$  is set as  $p_i \frac{u_i^*}{U_{\min}^\dagger}$ . For processor  $m$  with  $U_{\min}^\dagger > U_m^\dagger$ , we assign  $U_{\min}^\dagger - U_m^\dagger$  estimated utilization for tasks in  $\mathbf{T} \setminus \mathbf{T}^f$ . As a result, the expected energy consumption for  $\hat{\mathbf{T}}$  is  $\sum_{\tau_i \in \hat{\mathbf{T}}} e_i^*$ , that for  $\mathbf{T}^f \setminus \hat{\mathbf{T}}$  is  $\sum_{\tau_i \in \mathbf{T}^f \setminus \hat{\mathbf{T}}, m: \tau_i \in \mathbf{T}_m^\dagger} e_i^* (\max\{U_{\min}^\dagger, U_m^\dagger\})^{\alpha-1}$ , and that for  $\mathbf{T} \setminus \mathbf{T}^f$  is  $\sum_{\tau_i \in \mathbf{T} \setminus \mathbf{T}^f} e_i^* (U_{\min}^\dagger)^{\alpha-1}$ . Therefore, the resulting expected energy consumption for  $\mathbf{T}$  of the semi-relaxed multiprocessor expected-energy-efficient scheduling problem is

$$\begin{aligned} & \sum_{\tau_i \in \mathbf{T}^f \setminus \hat{\mathbf{T}}, m: \tau_i \in \mathbf{T}_m^\dagger} e_i^* (\max\{U_{\min}^\dagger, U_m^\dagger\})^{\alpha-1} \\ & + \sum_{\tau_i \in \hat{\mathbf{T}}} e_i^* + \sum_{\tau_i \in \mathbf{T} \setminus \mathbf{T}^f} e_i^* (U_{\min}^\dagger)^{\alpha-1}. \end{aligned}$$

The above algorithm for the semi-relaxed multiprocessor expected-energy-efficient scheduling problem is called Algorithm G-LEUF.

**Lemma 6** *Algorithm G-LEUF derives the minimum expected energy consumption for the semi-relaxed multiprocessor expected-energy-efficient scheduling problem.*

**Proof.** It can be proved with very similar arguments to Lemma 5.  $\square$

The expected energy consumption of the solution derived from Algorithm G-LEUF, therefore, is the lower bound of that of any feasible solution of the input instance.

**The approximation ratio of Algorithm LEUF** We have shown a lower bound of expected energy consumption of Algorithm LEUF. We now show the approximation ratio of Algorithm LEUF by dividing the upper bound of the expected energy consumption of the derived solution by the lower bound derived above. The following lemma shows that the difference of the total estimated utilizations on processors is bounded.

**Lemma 7** *For processor  $m$  with  $U_m^\dagger \geq U_{\min}^\dagger$ ,  $U_m^\dagger$  is equal to  $U_m$  for Algorithm LEUF. For processors  $m^*$  and  $m'$  with  $U_{m'}^\dagger < U_{\min}^\dagger$ ,  $U_{m^*}^\dagger < U_{\min}^\dagger$ , and  $U_{m^*} \geq U_{\min}^\dagger \geq U_{m'}$ ,  $U_{m^*}$  is at most  $\frac{3}{2}U_{m'}$ , where  $U_{m^*}$  and  $U_{m'}$  are the total estimated utilizations on processors  $m^*$  and  $m'$  after calling Algorithm LEUF on  $\mathbf{T}$ , respectively.*

**Proof.** For any processor  $m$  with  $U_m^\dagger \geq U_{\min}^\dagger$ , once we consider task  $\tau_i$  in  $\mathbf{T} \setminus \mathbf{T}^f$  in the loop from Step 6 to Step 8 in Algorithm LEUF, there must be another processor with

smaller total estimated utilization. Hence, Algorithm LEUF never assigns any task in  $\mathbf{T} \setminus \mathbf{T}^f$  to processor  $m$ . Namely,  $U_m = U_m^\dagger$ .

We now prove the second case. Suppose that  $\tau_k$  is the last task inserted into  $\mathbf{T}_{m^*}$  when we execute Algorithm LEUF for  $\mathbf{T}$ . By definitions,  $\tau_k$  is in  $\mathbf{T} \setminus \mathbf{T}^f$ . Since  $\tau_k$  is inserted into  $\mathbf{T}_{m^*}$  instead of  $\mathbf{T}_{m'}$ , we also know that  $U_{m^*} - u_k^* \leq U_{m'}$ . If  $\mathbf{T}_{m^*} \setminus \{\tau_k\}$  has only one task,  $u_k^*$  is smaller than  $\frac{1}{2}(U_{m^*} - u_k^*)$ ; otherwise,  $\tau_k$  must be in  $\mathbf{T}^f$ . If  $\mathbf{T}_{m^*} \setminus \{\tau_k\}$  has more than one task,  $u_k^*$  is no more than  $\frac{1}{2}(U_{m^*} - u_k^*)$  because of the largest estimated utilization first strategy. Hence,  $u_k^* \leq \frac{1}{2}U_{m'}$ . As a result,  $U_{m^*} \leq \frac{3}{2}U_{m'}$ .  $\square$

The following lemma is required to show the approximation ratio of Algorithm LEUF.

**Lemma 8** Suppose  $f(y) = k \cdot (3y)^\alpha + (\hat{M} - k)(2y)^\alpha$  for a positive number  $\hat{M}$  and a non-negative number  $k$ , where  $0 \leq y, 0 \leq k \leq \hat{M}$ , and  $k \cdot 3y + (\hat{M} - k) \cdot 2y = \hat{M}$ , then  $f(y) \leq \frac{(\alpha-1)^{\alpha-1}(3^\alpha-2^\alpha)^\alpha}{(2 \cdot 3^\alpha - 3 \cdot 2^\alpha)^{\alpha-1} \alpha^\alpha} \hat{M}$ .

**Proof.** Due to space limitations, the detailed proof is in a tech report [10].  $\square$

Based on the above lemmas, the approximation ratio of the algorithm can be proved as follows:

**Theorem 1** Algorithm LEUF is a 1.13-approximation algorithm for the multiprocessor expected-energy-efficient scheduling problem.

**Proof.** By the optimality of Algorithm G-LEUF, we have

$$\Phi(S^*) \geq \sum_{\tau_i \in \mathbf{T}^f \wedge \hat{\mathbf{T}}, m: \tau_i \in \mathbf{T}_m^\dagger} e_i^*(\max\{U_{\min}^\dagger, U_m^\dagger\})^{\alpha-1} + \sum_{\tau_i \in \hat{\mathbf{T}}} e_i^* + \sum_{\tau_i \in \mathbf{T} \setminus \mathbf{T}^f} e_i^*(U_{\min}^\dagger)^{\alpha-1},$$

where  $S^*$  is an optimal schedule for  $\mathbf{T}$ . The expected energy consumption of the schedule  $S_{\text{LEUF}}$  derived is

$$\Phi(S_{\text{LEUF}}) = \sum_{\tau_i \in \hat{\mathbf{T}}} e_i^* + \sum_{\tau_i \in \mathbf{T} \setminus \hat{\mathbf{T}}, m: \tau_i \in \mathbf{T}_m} e_i^*(U_m)^{\alpha-1}. \quad (10)$$

Suppose that  $\mathbf{M}^\dagger$  is the set of processors in which  $U_m^\dagger = U_m$  for every  $m$  in  $\mathbf{M}^\dagger$ . Let  $\tau_r$  be some task in  $\mathbf{T}'$ . By Lemma 3, we know that  $\Phi(S^*) \geq \sum_{\tau_i \in \hat{\mathbf{T}}} e_i^* + \sum_{m \in \mathbf{M}^\dagger} \frac{e_r^*}{u_r^*} (U_m)^\alpha + (M - |\mathbf{M}^\dagger|) \frac{e_r^*}{u_r^*} (U_{\min}^\dagger)^\alpha$  as well as  $\Phi(S_{\text{LEUF}}) = \sum_{\tau_i \in \hat{\mathbf{T}}} e_i^* + \sum_{m \in \mathbf{M}^\dagger} \frac{e_r^*}{u_r^*} (U_m)^\alpha + \sum_{m \notin \mathbf{M}^\dagger} \frac{e_r^*}{u_r^*} (U_m)^\alpha$ . The approximation ratio  $\mathcal{A}$  is

$$\begin{aligned} \mathcal{A} = \frac{\Phi(S_{\text{LEUF}})}{\Phi(S^*)} &\leq \frac{\sum_{m \in \mathbf{M}^\dagger} \frac{e_r^*}{u_r^*} (U_m)^\alpha + \sum_{m \notin \mathbf{M}^\dagger} \frac{e_r^*}{u_r^*} (U_m)^\alpha}{\sum_{m \in \mathbf{M}^\dagger} \frac{e_r^*}{u_r^*} (U_m)^\alpha + (M - |\mathbf{M}^\dagger|) \frac{e_r^*}{u_r^*} (U_{\min}^\dagger)^\alpha} \\ &\leq \frac{\sum_{m \notin \mathbf{M}^\dagger} (U_m)^\alpha}{(M - |\mathbf{M}^\dagger|)(U_{\min}^\dagger)^\alpha}, \end{aligned} \quad (11)$$

where  $\sum_{m \notin \mathbf{M}^\dagger} U_m = (M - |\mathbf{M}^\dagger|)U_{\min}^\dagger$ . Suppose that  $U_{\hat{m}}$  is the minimum total estimated utilization after calling Algorithm LEUF. Based on Lemma 7, we have  $1.5U_{\hat{m}} \geq U_m$ , for all  $m \notin \mathbf{M}^\dagger$ . Because of the convexity of the function  $(U_m)^\alpha$  of  $U_m$ , the fact  $1.5U_{\hat{m}} - U_m \geq 0$ , and  $U_m - U_{\hat{m}} \geq 0$ , we have  $(U_m)^\alpha \leq \frac{1.5U_{\hat{m}} - U_m}{0.5U_{\hat{m}}} (U_{\hat{m}})^\alpha + \frac{U_m - U_{\hat{m}}}{0.5U_{\hat{m}}} (1.5U_{\hat{m}})^\alpha$ , since  $\frac{1.5U_{\hat{m}} - U_m}{0.5U_{\hat{m}}} (U_{\hat{m}})^\alpha + \frac{U_m - U_{\hat{m}}}{0.5U_{\hat{m}}} (1.5U_{\hat{m}})^\alpha$  is equal to  $U_m$ . Hence,

$$\sum_{m \notin \mathbf{M}^\dagger} (U_m)^\alpha \leq k \cdot (1.5U_{\hat{m}})^\alpha + (M - |\mathbf{M}^\dagger| - k)(U_{\hat{m}})^\alpha,$$

where  $1.5k \cdot U_{\hat{m}} + (M - |\mathbf{M}^\dagger| - k)U_{\hat{m}} = (M - |\mathbf{M}^\dagger|)U_{\min}^\dagger$ . By applying Lemma 8 after setting  $y$  as  $0.5 \frac{U_{\hat{m}}}{U_{\min}^\dagger}$  and  $\hat{M}$  as  $(M - |\mathbf{M}^\dagger|)$ , we have  $\sum_{m \notin \mathbf{M}^\dagger} (U_m)^\alpha \leq \frac{(\alpha-1)^{\alpha-1}(3^\alpha-2^\alpha)^\alpha}{(2 \cdot 3^\alpha - 3 \cdot 2^\alpha)^{\alpha-1} \alpha^\alpha} (M - |\mathbf{M}^\dagger|)(U_{\min}^\dagger)^\alpha$ . As a result,  $\mathcal{A} \leq \frac{(\alpha-1)^{\alpha-1}(3^\alpha-2^\alpha)^\alpha}{(2 \cdot 3^\alpha - 3 \cdot 2^\alpha)^{\alpha-1} \alpha^\alpha}$ , and this theorem is proved by observing that  $\alpha \leq 3$ .  $\square$

### 3.3 A polynomial-time approximation scheme

This subsection presents a polynomial-time approximation scheme (PTAS) for the multiprocessor expected-energy-efficient scheduling problem. By Lemma 4, we only have to focus on scheduling tasks in  $\mathbf{T}'$  on  $M - |\hat{\mathbf{T}}|$  processors. For the rest of this subsection, we only consider systems that execute each individual task  $\tau_i$  in  $\hat{\mathbf{T}}$  on processor  $M - |\hat{\mathbf{T}}| + i$ . For notational brevity, we denote  $M - |\hat{\mathbf{T}}|$  by  $M'$ . Let  $\mathbf{T}_m^o$  be the set of tasks assigned onto processor  $m$  in an optimal schedule. Clearly,  $\mathbf{T}_m^o \cap \mathbf{T}_{m'}^o = \emptyset$  for any  $m \neq m'$  and  $\cup_{m=1}^{M'} \mathbf{T}_m^o = \mathbf{T}'$ .

To build the PTAS, we first categorize the tasks in  $\mathbf{T}'$  into small tasks and large tasks. The estimated utilizations of those large tasks are rounded down to proper values, and then a polynomial-time algorithm which derives an optimal solution based on the rounded estimated utilizations is used to partition the large tasks. At the end, the small tasks are assigned onto processors without increasing too much expected energy consumption. To present the PTAS, we will first derive optimal solutions of special cases with a fixed number of distinct estimated utilizations of tasks. Then, we will detail the categorization of the large and small tasks, the rounding of estimated utilizations, the use of the polynomial-time algorithm for special cases, and the assignment of large and small tasks. At the end, the approximation ratio and the time complexity of the PTAS will be presented.

#### A polynomial-time algorithm for the derivation of optimal solutions of special cases

Here, we consider the special case when the number of distinct estimated utilizations of tasks in  $\mathbf{T}'$  is fixed. The algorithm which derives an optimal solution for special cases will be used for tasks with rounded estimated utilizations. For such cases, these fixed estimated utilizations are denoted by  $v_1^*, v_2^*, \dots, v_\kappa^*$ , where  $\kappa$  is the number of fixed estimated utilizations of tasks in  $\mathbf{T}$ . Without loss of generality,  $v_1^* < v_2^* < \dots < v_\kappa^*$ . The number of tasks in  $\mathbf{T}'$  with estimated utilization equal to  $v_i^*$  is denoted by  $n_i$ . By Equation (8) and the fact that  $\sum_{i=1}^\kappa v_i^* \cdot n_i \leq M'$ , we have the following lemma.

**Lemma 9** For an optimal schedule, the number of tasks assigned on each processor is at most  $\left\lceil \frac{1}{v_1^*} \right\rceil$ .

**Proof.** Suppose that the cardinality of the task set  $\mathbf{T}_m^o$  is at least  $\left\lceil \frac{1}{v_1^*} \right\rceil + 1$  and  $\tau_k$  is the task with the minimum estimated utilization in  $\mathbf{T}_m^o$ . Clearly, we know that  $\sum_{\tau_i \in \mathbf{T}_m^o} u_i^* \geq 1 + u_k^*$ . By the pigeon-hole principle, we know that there must be a processor  $m'$  with  $\sum_{\tau_i \in \mathbf{T}_{m'}^o} u_i^* < 1$ . By Equation (8), we know that  $\phi(\mathbf{T}_m^o) + \phi(\mathbf{T}_{m'}^o) > \phi(\mathbf{T}_m^o \setminus \{\tau_k\}) + \phi(\mathbf{T}_{m'}^o \cup \{\tau_k\})$ , which contradicts the optimality of  $\mathbf{T}_1^o, \mathbf{T}_2^o, \dots, \mathbf{T}_{M'}^o$ .  $\square$

A *configuration* for a processor is defined as a vector  $\vec{w} = (w_1, w_2, \dots, w_\kappa)$ , in which  $w_i \in \{0, 1, \dots, n_i\}$  denotes the number of tasks with  $v_i^*$  estimated utilization in  $\mathbf{T}'$ . By Lemma 9, to derive an optimal solution, we only have to consider configurations  $\vec{w}$  on processors with  $\sum_{i=1}^\kappa w_i \leq \lceil \frac{1}{v_1^*} \rceil$ .

As a result, there are at most  $Q = \binom{\lceil \frac{1}{v_1^*} \rceil + \kappa}{\kappa}$  different configurations on a processor for optimal solutions. For a feasible solution to assigning tasks in  $\mathbf{T}'$  on  $M'$  processors, let  $\vec{w}_m$  be the corresponding configuration on processor  $m$ , in which  $w_{m,i}$  denotes the number of tasks with  $v_i^*$  estimated utilization in  $\mathbf{T}'$  in configuration  $\vec{w}_m$ . As a result, we only have to consider configurations with  $\sum_{m=1}^{M'} \sum_{i=1}^\kappa w_{m,i} = |\mathbf{T}'|$ ,  $\sum_{i=1}^\kappa w_{m,i} \leq \lceil \frac{1}{v_1^*} \rceil$ , and  $\sum_{m=1}^{M'} w_{m,i} = n_i$  for  $i = 1, 2, \dots, \kappa$ .

For a configuration  $\vec{w}_m$  on processor  $m$ , the minimum expected energy consumption on executing the corresponding task set consisting of  $w_{m,i}$  tasks with estimated utilization  $v_i^*$  is equal to  $\frac{e_m^*}{v_r^*} (\sum_{i=1}^\kappa w_{m,i} v_i^*)^\alpha$ , which can be obtained in  $O(\kappa)$ . Since the number of configurations to achieve  $\sum_{m=1}^{M'} \sum_{i=1}^\kappa w_{m,i} = |\mathbf{T}'|$  and  $\sum_{i=1}^\kappa w_{m,i} \leq \lceil \frac{1}{v_1^*} \rceil$  is  $\binom{M'+Q}{Q} = O((M')^Q)$ , we can derive an optimal assignment by enumerating the different configurations for  $\mathbf{T}'$  on the  $M'$  processors with  $\sum_{m=1}^{M'} w_{m,i} = n_i$  for  $i = 1, 2, \dots, \kappa$  and picking up the solution with the minimum expected energy consumption.

Another method is to solve an integer linear programming with a fixed number of variables. Suppose that  $\vec{q}$  is a configuration with at most  $\lceil \frac{1}{v_1^*} \rceil$  tasks, and spans the configuration set  $\vec{Q}$ .  $q_i$  is the number of tasks with estimated utilization  $v_i^*$  in configuration  $\vec{q}$ . Clearly, there are  $Q$  elements in  $\vec{Q}$ . Let  $x_{\vec{q}}$  be an integral variable between 0 and  $M'$ , and  $\Omega(\vec{q})$  be the minimum expected energy consumption on executing the corresponding task set consisting of  $q_i$  tasks with estimated utilization  $v_i^*$  without violating the timing constraints. We can formulate the multiprocessor expected-energy-efficient scheduling of task set  $\mathbf{T}'$  on  $M'$  processors as follows:

$$\begin{aligned} & \text{minimize} && \sum_{\vec{q} \in \vec{Q}} x_{\vec{q}} \cdot \Omega(\vec{q}) \\ & \text{subject to} && \sum_{\vec{q} \in \vec{Q}} x_{\vec{q}} \leq M' \\ & && \sum_{\vec{q} \in \vec{Q}} x_{\vec{q}} \cdot q_i = n_i, \forall i = 1, 2, \dots, \kappa, \text{ and} \\ & && x_{\vec{q}} \in \{0, 1, 2, \dots, M'\}, \forall \vec{q} \in \vec{Q}. \end{aligned} \quad (12)$$

There are  $Q$  variables in Equation (12), whose optimal solution can be found by Lenstra's algorithm [22, §18.4] with time complexity exponential in the number of variables and polynomial in the size of the coefficient of the programming. Hence, an optimal solution for Equation (12) can be obtained in  $O((2Q^3 2^{2Q(Q-1)/4})^Q \log^{O(1)}(|\mathbf{T}'| + M'))$  time. Since  $|\mathbf{T}'| > M'$  and  $Q$  is a constant when the number of different estimated utilizations in  $\mathbf{T}'$  is fixed and the minimum estimated utilization in  $\mathbf{T}'$  is a constant, the time complexity is  $O(\log^{O(1)} |\mathbf{T}'|)$ . The total time complexity to derive an optimal solution is  $O(|\mathbf{T}| \log |\mathbf{T}| + \log^{O(1)} |\mathbf{T}'|)$ . As a result, we have the following theorem.

**Theorem 2** *A schedule with the minimum expected energy consumption for task set  $\mathbf{T}'$  with  $\frac{e_i^*}{u_i^*} = \frac{e_j^*}{u_j^*}$  for any two tasks  $\tau_i, \tau_j \in \mathbf{T}'$  on  $M'$  processors can be solved in  $O(|\mathbf{T}| \log |\mathbf{T}| + \log^{O(1)} |\mathbf{T}'|)$  when the number of different estimated utilizations in  $\mathbf{T}'$  is fixed and the minimum estimated utilization in  $\mathbf{T}'$  is a constant.*

**The procedure of a polynomial-time approximation scheme for general cases** Our polynomial-time approximation scheme for the multiprocessor expected-energy-efficient scheduling problem is based on rounding the estimated utilization on each task so that the number of different estimated utilizations and the number of different estimated expected energy consumptions are fixed. After rounding the parameters on tasks, we can then adopt Theorem 2 to derive an optimal schedule. A solution for scheduling tasks in  $\mathbf{T}'$  on  $M'$  processors can then be determined, and can be shown energy-efficient.

Let  $\epsilon$  be a fixed constant specified by users. We classify tasks in  $\mathbf{T}'$  into two types. For any task  $\tau_i$  in  $\mathbf{T}'$  with  $u_i^* \geq \epsilon$ , we denote such a task as a *large* task. On the other hand, task  $\tau_i$  in  $\mathbf{T}'$  is referred to a *small* task if  $u_i^* < \epsilon$ . Let  $\mathbf{B}_1$  ( $\mathbf{B}_2$ , respectively) be the task set which consists of large (small, respectively) tasks in  $\mathbf{T}'$ . For each large task  $\tau_i$  in  $\mathbf{B}_1$ , let  $k_i$  be the integer with  $\epsilon + k_i \epsilon^2 \leq u_i^* < \epsilon + (k_i + 1) \epsilon^2$ . Since  $u_i^* < 1$  for all  $\tau_i \in \mathbf{T}'$ , we know that  $0 \leq k_i < \frac{1-\epsilon}{\epsilon^2}$ . For each large task  $\tau_i$  in  $\mathbf{B}_1$ , we create a rounded task  $\tau_i^b$  by shrinking the estimated utilization  $u_i^*$  as  $(\epsilon + k_i \epsilon^2)$ . Moreover, let the estimated expected energy consumption  $e_i^b$  of rounded task  $\tau_i^b$  be  $e_i^* \frac{\epsilon + k_i \epsilon^2}{u_i^*}$ . The constructed set of rounded tasks is denoted by  $\mathbf{B}_1^b$ . Hence, the number of distinct estimated utilizations in  $\mathbf{B}_1^b$  is at most  $\lceil \frac{1-\epsilon}{\epsilon^2} \rceil$ .

We can derive an optimal solution on scheduling rounded tasks in  $\mathbf{B}_1^b$  on  $M'$  processors in polynomial time by applying the algorithm presented in Theorem 2. Let  $\mathbf{T}_m^b$  be the set of tasks assigned on processor  $m$  after applying the optimal algorithm for  $\mathbf{B}_1^b$ . For each rounded task  $\tau_i^b$  in  $\mathbf{T}_m^b$ , we assign task  $\tau_i$  to processor  $m$ . For notational brevity, let  $U_m^b$  be the estimated utilization of rounded tasks in  $\mathbf{T}_m^b$ , i.e.,  $U_m^b = \sum_{\tau_i^b \in \mathbf{T}_m^b} u_i^b$ .

Now, we show how to assign those small tasks in  $\mathbf{B}_2$  onto processors. First, we find the minimum  $U_{\min}^b$  such that  $\sum_{m=1}^{M'} (U_{\min}^b - U_m^b) \delta_{U_{\min}^b > U_m^b} = \sum_{\tau_i \in \mathbf{B}_2} u_i^*$ , where  $\delta_{U_{\min}^b > U_m^b}$  is 1 when  $U_{\min}^b > U_m^b$ , and 0, otherwise. Let task set  $\mathbf{B}_2'$  be a working task set, which is initialized as task set  $\mathbf{B}_2$ . Then, for each processor  $m$  with  $m \leq M'$  and  $U_{\min}^b > U_m^b$ , we find a subset  $\mathbf{B}_2^\dagger$  of task set  $\mathbf{B}_2'$  to be assigned. If  $\sum_{\tau_i \in \mathbf{B}_2^\dagger} u_i^* \leq U_{\min}^b - U_m^b$ , let  $\mathbf{B}_2^\dagger$  be  $\mathbf{B}_2'$ ; otherwise, let  $\mathbf{B}_2^\dagger$  be a subset of task set  $\mathbf{B}_2'$  with  $U_{\min}^b - U_m^b \leq \sum_{\tau_i \in \mathbf{B}_2^\dagger} u_i^* < \epsilon + U_{\min}^b - U_m^b$ . We then assign all the tasks in  $\mathbf{B}_2^\dagger$  on processor  $m$  and shrink the task set  $\mathbf{B}_2'$  by subtracting  $\mathbf{B}_2^\dagger$ . For brevity, let  $\mathbf{B}_{2,m}^\dagger$  be  $\mathbf{B}_2^\dagger$ .

Let the resulting task assignment be  $\mathbf{T}_1, \mathbf{T}_2, \dots, \mathbf{T}_{M'}$ . The resulting schedule is returned. The pseudo-code of the algorithm, denoted by Algorithm ROUNDING, is in Algorithm 2.

**Algorithm 2** :ROUNDING**Input:**  $\mathbf{T}, M, \epsilon$ ;

- 1: **if**  $|\mathbf{T}| \leq M$  **then**
- 2:   return the schedule to execute each task  $\tau_i$  in  $\mathbf{T}$  on processor  $i$ ;
- 3: determine the optimal solution for Equation (4), and obtain  $u_i^*$  for every  $\tau_i \in \mathbf{T}$ ;
- 4:  $\mathbf{T}' \leftarrow \{\tau_i \mid \tau_i \in \mathbf{T} \text{ and } u_i^* < 1\}$ ,  $\hat{\mathbf{T}} \leftarrow \mathbf{T} \setminus \mathbf{T}'$ ;
- 5:  $M' \leftarrow M - |\hat{\mathbf{T}}|$ ;
- 6: schedule each task in  $\mathbf{T} \setminus \mathbf{T}'$  on an individual processor;
- 7:  $\mathbf{B}_1 \leftarrow \{\tau_i \mid \tau_i \in \mathbf{T}' \text{ and } u_i^* \geq \epsilon\}$ ,  $\mathbf{B}_2 \leftarrow \mathbf{T}' \setminus \mathbf{B}_1$ ;
- 8: create a rounded task  $\tau_i^b$  by setting its estimated utilization equal to  $\epsilon + k_i \epsilon^2$  for each large task  $\tau_i$  in  $\mathbf{B}_1$ , where  $\epsilon + k_i \epsilon^2 \leq u_i^* < \epsilon + (k_i + 1) \epsilon^2$ ;
- 9:  $\mathbf{B}_1^b \leftarrow \{\tau_i^b \mid \tau_i \in \mathbf{B}_1\}$ ;
- 10: apply the algorithm in Theorem 2 to derive the task partition for task set  $\mathbf{B}_1^b$  on  $M'$  processors, where  $\mathbf{T}_m^b$  is the set of rounded tasks on processor  $m$  for the partition;
- 11:  $U_m^b \leftarrow \sum_{\tau_i^b \in \mathbf{T}_m^b} u_i^b$  for  $m = 1, 2, \dots, M'$ ;
- 12: find  $U_{\min}^b$  such that  $\sum_{m=1}^{M'} (U_{\min}^b - U_m^b) \delta_{U_{\min}^b > U_m^b} = \sum_{\tau_i \in \mathbf{B}_2} u_i^*$ , where  $\delta_{U_{\min}^b > U_m^b}$  is 1 when  $U_{\min}^b > U_m^b$ , and 0, otherwise;
- 13:  $\mathbf{B}_2' \leftarrow \mathbf{B}_2$ ;
- 14: **for**  $m \leftarrow 1$ ;  $m \leq M'$ ;  $m \leftarrow m + 1$  **do**
- 15:    $\mathbf{T}_m \leftarrow \emptyset$ ;
- 16:   for each rounded task  $\tau_i^b$  in  $\mathbf{T}_m^b$ ,  $\mathbf{T}_m \leftarrow \mathbf{T}_m \cup \{\tau_i^b\}$ ;
- 17:    $\mathbf{B}_2^{\dagger} \leftarrow \emptyset$ ;
- 18:   **if**  $U_{\min}^b > U_m^b$  **then**
- 19:     **for** each task  $\tau_i$  in  $\mathbf{B}_2'$  **do**
- 20:       **if**  $\sum_{\tau_i \in \mathbf{B}_2'} u_i^* < U_{\min}^b - U_m^b$  **then**
- 21:           $\mathbf{B}_2^{\dagger} \leftarrow \mathbf{B}_2^{\dagger} \cup \{\tau_i\}$ ;
- 22:        $\mathbf{T}_m \leftarrow \mathbf{T}_m \cup \mathbf{B}_2^{\dagger}$ ;
- 23:        $\mathbf{B}_2' \leftarrow \mathbf{B}_2' \setminus \mathbf{B}_2^{\dagger}$ ,  $\mathbf{B}_{2,m}^{\dagger} \leftarrow \mathbf{B}_2^{\dagger}$ ;
- 24:   schedule each task in  $\mathbf{T}_m$  on processor  $m$ ;
- 25: **return** the resulting schedule;

**An example for Algorithm ROUNDING** We use the following example to show how Algorithm ROUNDING works. Suppose that we have fourteen tasks in  $\mathbf{T}'$  and  $M'$  is 3. The estimated utilizations of these tasks are illustrated in Table 1. By taking  $\epsilon$  as 0.1, five tasks, i.e.,  $\tau_{14}, \tau_{13}, \tau_{12}, \tau_{11}, \tau_{10}$ , are defined as small tasks, and the other large tasks are rounded down to the closest  $\epsilon + k_i \epsilon^2$  as shown in Table 1. For example, since  $\epsilon + 7\epsilon^2 \leq 0.1786 < \epsilon + 8\epsilon^2$  when  $\epsilon = 0.1$ , the estimated utilization of task  $\tau_9$  is rounded down to 0.17. Then, we find the optimal task partition for the rounded tasks in  $\{\tau_1^b, \tau_2^b, \tau_3^b, \tau_4^b, \tau_5^b, \tau_6^b, \tau_7^b, \tau_8^b, \tau_9^b\}$ , in which rounded task set  $\{\tau_1^b, \tau_2^b\}$  is set as  $\mathbf{T}_1^b$ ,  $\{\tau_3^b, \tau_5^b, \tau_6^b\}$  as  $\mathbf{T}_2^b$ , and  $\{\tau_4^b, \tau_7^b, \tau_8^b, \tau_9^b\}$  as  $\mathbf{T}_3^b$ . As a result,  $U_1^b$  is 0.92,  $U_2^b$  is 0.92, and  $U_3^b$  is 0.96. Then, tasks  $\tau_1$  and  $\tau_2$  are assigned to processor 1, tasks  $\tau_3, \tau_5$ , and  $\tau_6$  are to processor 2, and tasks  $\tau_4, \tau_7, \tau_8$ , and  $\tau_9$  are to processor 3. Then, Algorithm ROUNDING starts to assign the small tasks, in which  $U_{\min}^b$  is 0.983433. By applying the procedures between Step 13 and Step 23 in Algorithm 2 with consideration to small tasks from  $\tau_{14}$  to  $\tau_{10}$ , tasks

$\tau_{14}, \tau_{13}, \tau_{12}, \tau_{11}$  are assigned to processor 1, and task  $\tau_{10}$  is assigned to processor 2. The estimated utilizations of the task partition on these three processors are 1.0205, 0.9975, and 0.982. Hence, the expected energy consumption of the solution is  $\frac{e_r}{u_r^*} (1.0205^3 + 0.9975^3 + 0.982^3) = 3.002254 \frac{e_r}{u_r^*}$  when  $\alpha$  is 3 and some task  $\tau_r$  in task set  $\mathbf{T}'$ . By setting  $\epsilon$  as 0.05, the results are also shown in Table 1, where the expected energy consumption is  $3.00155 \frac{e_r}{u_r^*}$ .

**The analysis of the feasibility and the approximation ratio of the PTAS** The following lemma shows that the resulting task assignment assigns each task exactly to one processor, which implies the feasibility of the derived schedule.

**Lemma 10**  $\cup_{m=1}^{M'} \mathbf{T}_m = \mathbf{T}'$  and  $\mathbf{T}_m \cap \mathbf{T}_{m'} = \emptyset$  for any  $m \neq m'$ .

**Proof.** Since each rounded task in  $\mathbf{B}_1^b$  is assigned to one processor, each large task in  $\mathbf{B}_1$  is assigned to one processor. We only focus on showing that each small task  $\tau_i$  in  $\mathbf{B}_2$  is assigned to one processor. Suppose that  $\mathbf{B}_2'$  is not empty after all the processors are considered. Since  $\mathbf{B}_2'$  is not empty, we know that  $\sum_{\tau_i \in \mathbf{B}_2'} u_i^* > \sum_{m=1}^{M'} (U_{\min}^b - U_m^b) \delta_{U_{\min}^b > U_m^b} = \sum_{\tau_i \in \mathbf{B}_2} u_i^*$ . We reach the contradiction.  $\square$

The following lemma comes from the definition of the shrinking of those large tasks in  $\mathbf{B}_1$ .

**Lemma 11**  $\frac{u_i^*}{u_i^b} \leq 1 + \epsilon$ , for any large task  $\tau_i \in \mathbf{B}_1^b$ , which is constructed from task  $\tau_i$  in  $\mathbf{T}'$ .

**Proof.** Recall that  $k_i$  is the integer with  $\epsilon + k_i \epsilon^2 \leq u_i^* < \epsilon + (k_i + 1) \epsilon^2$  and  $\tau_i^b$  is defined as  $\epsilon + k_i \epsilon^2$ . We know that  $u_i^* - u_i^b \leq \epsilon^2$ . Hence,  $\frac{u_i^*}{u_i^b} = 1 + \frac{u_i^* - u_i^b}{u_i^b} \leq 1 + \frac{\epsilon^2}{u_i^b} \leq 1 + \epsilon$ , where the second inequality holds because of  $u_i^b \geq \epsilon$ .  $\square$

We need the following lemma to prove the approximation ratio.

**Lemma 12**  $\sum_{i=1}^m (y_i + z)^\alpha \leq (\sqrt[m]{m}z + \sqrt[m]{\sum_{i=1}^m (y_i)^\alpha})^\alpha$ , for any non-negative real numbers  $y_1, y_2, \dots, y_m$ , any positive real number  $\alpha \geq 1$ , and positive integer  $m$ .

**Proof.** Due to space limitations, the detailed proof is in a tech report [10].  $\square$

The minimum expected energy consumption  $\phi^b(\mathbf{T}_m^b)$  of task set  $\mathbf{T}_m^b$  is  $\frac{e_r}{u_r^*} (\sum_{\tau_i \in \mathbf{T}_m^b} u_i^b)^\alpha$  for some task  $\tau_r \in \mathbf{T}'$ . For notational brevity, let  $U_m^*$  be  $U_{\min}^b$  if  $U_{\min}^b > U_m^b$  and  $U_m^b$  otherwise, i.e.,

$$U_m^* = \begin{cases} U_{\min}^b, & \text{if } U_{\min}^b > U_m^b, \\ U_m^b, & \text{otherwise.} \end{cases}$$

The following lemma shows the relationship of the expected energy consumption of the rounded input instance to that of the optimal schedule for  $\mathbf{T}'$  on  $M'$  processors, where  $\mathbf{T}_m^o$  denotes the set of tasks assigned on processor  $m$  in the optimal schedule.

**Lemma 13**  $\sum_{m=1}^{M'} \frac{e_r}{u_r^*} (U_m^*)^\alpha \leq \sum_{m=1}^{M'} \phi(\mathbf{T}_m^o)$ , for some task  $\tau_r \in \mathbf{T}'$ .



	$\tau_{14}$	$\tau_{13}$	$\tau_{12}$	$\tau_{11}$	$\tau_{10}$	$\tau_9$	$\tau_8$	$\tau_7$	$\tau_6$	$\tau_5$	$\tau_4$	$\tau_3$	$\tau_2$	$\tau_1$
$u_i^*$	0.0022	0.0084	0.0155	0.0591	0.0651	0.1786	0.1798	0.1923	0.2316	0.2353	0.4313	0.4655	0.4666	0.4687
$u_i^b$ ( $\epsilon = 0.1$ )	small	small	small	small	small	0.1700	0.1700	0.1900	0.2300	0.2300	0.4300	0.4600	0.4600	0.4600
processor	1	1	1	1	2	3	3	3	2	2	3	2	1	1
$u_i^b$ ( $\epsilon = 0.05$ )	small	small	small	0.0575	0.0650	0.1775	0.1775	0.1900	0.2300	0.2350	0.4300	0.4650	0.4650	0.4675
processor	1	1	2	1	2	3	3	3	2	2	3	2	1	1

**Table 1. The estimated utilization and rounded estimated utilization of tasks in the example.**

**Proof.** The proof is similar to the optimal solution for the semi-relaxed multiprocessor expected-energy-efficient scheduling problem in Section 3.2.  $\square$

The following lemma shows the ratio of the expected energy consumption of the derived schedule to that of the optimal schedule for  $\mathbf{T}'$  on  $M'$  processors.

**Lemma 14**

$$\sum_{m=1}^{M'} \phi(\mathbf{T}_m) \leq (1 + 2\epsilon)^\alpha \sum_{m=1}^{M'} \phi(\mathbf{T}_m^o).$$

**Proof.** From Lemma 11, we know that  $\sum_{\tau_i \in \mathbf{T}_m \cap \mathbf{B}_1} u_i^* \leq (1 + \epsilon) \sum_{\tau_i \in \mathbf{T}_m^b} u_i^b = (1 + \epsilon) U_m^b$ . By the definition of  $\mathbf{B}_{2,m}^\dagger$  and the fact that each task in  $\mathbf{B}_2$  is with estimated utilization smaller than  $\epsilon$ , we have  $\sum_{\tau_i \in \mathbf{B}_{2,m}^\dagger} u_i^* \leq (U_m^* - U_m^b) + \epsilon$ . Combining the two inequalities, we know  $\sum_{\tau_i \in \mathbf{T}_m} u_i^* \leq (1 + \epsilon) U_m^* + \epsilon$ . Hence,

$$\begin{aligned} \sum_{m=1}^{M'} \phi(\mathbf{T}_m) &= \frac{e_r^*}{u_r^*} \sum_{m=1}^{M'} \left( \sum_{\tau_i \in \mathbf{T}_m} u_i^* \right)^\alpha \\ &\leq \frac{e_r^*}{u_r^*} \sum_{m=1}^{M'} \left( (1 + \epsilon) U_m^* + \epsilon \right)^\alpha \\ &\leq^1 \frac{e_r^*}{u_r^*} \left( \sqrt[M']{\epsilon} + (1 + \epsilon) \sqrt{\sum_{m=1}^{M'} (U_m^*)^\alpha} \right)^\alpha \\ &\leq^2 \left( \epsilon \left( \sum_{m=1}^{M'} \phi(\mathbf{T}_m^o) \right)^{\frac{1}{\alpha}} + (1 + \epsilon) \left( \sum_{m=1}^{M'} \phi(\mathbf{T}_m^o) \right)^{\frac{1}{\alpha}} \right)^\alpha \\ &= (1 + 2\epsilon)^\alpha \sum_{m=1}^{M'} \phi(\mathbf{T}_m^o), \end{aligned}$$

where  $\leq^1$  comes from Lemma 12 and  $\leq^2$  comes from Lemma 13 with the fact that  $\frac{e_r^*}{u_r^*} M' \leq \sum_{m=1}^{M'} \phi(\mathbf{T}_m^o)$ .  $\square$

By taking  $\epsilon$  with  $(1 + 2\epsilon)^\alpha \leq (1 + \zeta)$ , we could reach the following theorem since  $\alpha$  is a constant.

**Theorem 3** *A schedule with  $(1 + \zeta)E_{opt}$  for any task set  $\mathbf{T}$  can be solved in  $O(|\mathbf{T}| \log |\mathbf{T}| + g(\frac{1}{\zeta}) \log^{O(1)} |\mathbf{T}|)$ , where  $\zeta$  is an user-input instance,  $g(\frac{1}{\zeta})$  is a function of  $\frac{1}{\zeta}$ , and  $E_{opt}$  is the minimum expected energy consumption for  $\mathbf{T}$  to complete all the tasks in  $\mathbf{T}$  before their deadlines.*

**3.4 Remarks**

Deriving a feasible task partition for the multiprocessor expected-energy-efficient scheduling problem is  $\mathcal{NP}$ -complete if there is a speed constraint [6]. The problem is

equivalent to the programming with one additional constraint in Equation (3) with  $t_i \geq c_i/s_{\max}$ , where  $s_{\max}$  is the maximum speed of the system. Task rejection [9] or resource augmentation with constraint violation [7, 17] to violate the constraint on the maximum speed for a little might be needed. For resource augmentation, the relaxation phase must be revised, and the estimated utilization can be used to derive task partitions. After task partition is done, the task set on processor  $m$  is scheduled with the approach in [24] by augmenting the maximum speed as  $s_{\max} \times \max\{1, U_m\}$ , where  $U_m$  is the total estimated utilization of the task set.

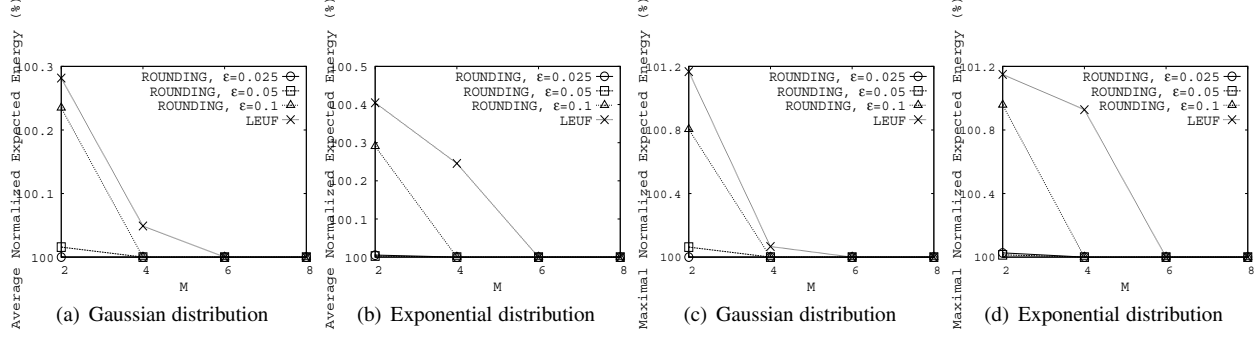
**4 Performance Evaluation**

This section provides performance evaluation of Algorithm LEUF and Algorithm ROUNDING, where the user-input parameter  $\epsilon$  is set as 0.1, 0.05, and 0.025.

We perform simulations that apply the algorithms to a series of synthetic task sets with similar settings in [25]. Each task set consists of 8, 12, or 16 periodic tasks. The period  $p_i$  of each task  $\tau_i$  ranges from 10 millisecond to 10 second. The number of the worst-case execution cycles  $c_i$  is between 100,000 and 500,000,000. Let  $\beta_i$  of each task  $\tau_i$  be a random variable in the range of [5, 20]. The worst-case execution cycles  $c_i$  is evenly divided into  $\beta_i$  bins, i.e.,  $X_{i,b} = \lceil \frac{c_i}{\beta_i} \rceil$  for  $b = 1, 2, \dots, \beta_i$ , while  $c_i$  is revised to  $\beta_i X_{i,b}$  after the derivation of  $X_{i,b}$ . Then, we have to determine the probability density function  $\psi_i(\cdot)$  for each task  $\tau_i$ . A Gaussian distribution and an exponential distribution are adopted here to determine the probability density function of each task. For task sets that are determined by a Gaussian distribution, the actual execution cycles of a task  $\tau_i$  follows a Gaussian distribution in  $(0, c_i]$ , where the mean  $\mu_i$  is chosen in  $(0, c_i]$  and the standard deviation  $\sigma_i$  is  $\frac{c_i}{6}$ . For exponential distributed task sets, the actual execution cycles of a task  $\tau_i$  is exponentially distributed in  $(0, c_i]$ , where  $\frac{1}{\eta_i}$  is a random variable in the range of  $(0, c_i]$ , and  $\mu_i = \frac{1}{\eta_i}$  and  $\sigma_i^2 = \frac{1}{\eta_i^2}$ .

We simulate each task set on platforms with 2, 4, 6, or 8 processors to evaluate the effect of the number of processors on the evaluated algorithms, while the value  $\alpha$  is set as 3. The *normalized expected energy* is used to evaluate the effectiveness of the algorithms. The normalized expected energy of an algorithm for an input instance is the energy consumption of the schedule derived from the algorithm in the hyper-period of the task set divided by the energy of an optimal solution derived from an exhaustive search. Each configuration is done by simulating 64 task sets independently.<sup>1</sup> The average normalized expected energy and the maximal normalized expected energy are reported as the experimental results.

<sup>1</sup>Since the exhaustive search is very time-consuming, we are not able to have experiments with larger tasks, processors, and configurations.



**Figure 2. Experimental results when  $|\mathbf{T}| = 8$ : (a) and (b) for the average normalized expected energy and (c) and (d) for the maximal normalized expected energy.**

Figure 2 shows the experimental results when there are 8 tasks for 2, 4, 6, or 8 processors. When  $M = 6$  and  $M = 8$ , all the evaluated algorithms derive optimal solutions because most of the generated task sets satisfy the optimality condition stated in Lemma 5. However, when  $M = 2$  and  $M = 4$ , Algorithm ROUNding outperforms Algorithm LEUF no matter  $\epsilon$  is set as 0.1, 0.05, or 0.025. Moreover, when  $M = 2$  in Figure 2(d), setting  $\epsilon$  as 0.05 has better results than setting  $\epsilon$  as 0.025 does. This is because the setting of  $\epsilon$  only reflects the worst-case guarantee, but the actual resulting schedule might be better if  $\epsilon$  has a larger value. Figure 3 and Figure 4 are the experimental results when there are 12 and 16 tasks in  $\mathbf{T}$ , respectively. In both Figure 3 and Figure 4, Algorithm LEUF outperforms Algorithm ROUNding in most cases when  $\epsilon = 0.1$ . But by setting  $\epsilon$  as 0.025, Algorithm ROUNding can perform better than Algorithm LEUF does in most cases.

## 5 Conclusion

This paper explores task partition and scheduling for the minimization of expected energy consumption in homogeneous multiprocessor systems with the capability of dynamic voltage scaling. The objective is to minimize the expected energy consumption for completing all the given tasks in time. By modeling the dynamic (or speed-dependent) power consumption function as  $s^\alpha$ , we show that the Largest-Estimated-Utilization-First (LEUF) strategy is a  $\frac{(\alpha-1)^{\alpha-1}(3^\alpha-2^\alpha)^\alpha}{(2 \cdot 3^\alpha - 3 \cdot 2^\alpha)^{\alpha-1} \alpha^\alpha}$ -approximation algorithm with  $O(|\mathbf{T}| \log |\mathbf{T}|)$  time complexity, where  $s$  is the processor speed,  $\alpha$  is a hardware-dependent factor between 1 and 3, and  $\mathbf{T}$  is the set of the given real-time tasks. Since  $\alpha$  is at most 3, the approximation ratio is at most 1.13. Moreover, with the rounding of the estimated worst-case utilization of tasks, we derive a polynomial-time approximation scheme (PTAS) to provide a  $(1 + \zeta)$ -approximated solution for any  $1 > \zeta > 0$  for such a strongly  $\mathcal{NP}$ -hard problem. The proposed polynomial-time approximation scheme allows a system designer to trade the optimality of the derived solution with the analysis time.

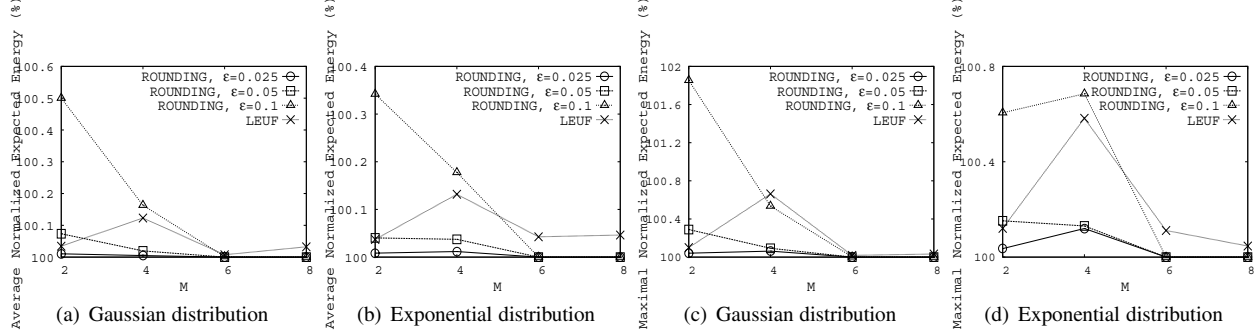
An interesting extension for expected-energy-efficient scheduling is to consider the reduction of leakage power consumption by turning a processor off. In such a case, all the processors might not be used for task execution [7, 28]. However, to our best knowledge, the expected-energy-efficient scheduling with leakage-power consideration is still open even for

uniprocessor systems. The task re-assignment approach [7] might be applied if the uniprocessor scheduling issue is resolved. It is also interesting to consider expected-energy-efficient scheduling on heterogeneous processors.

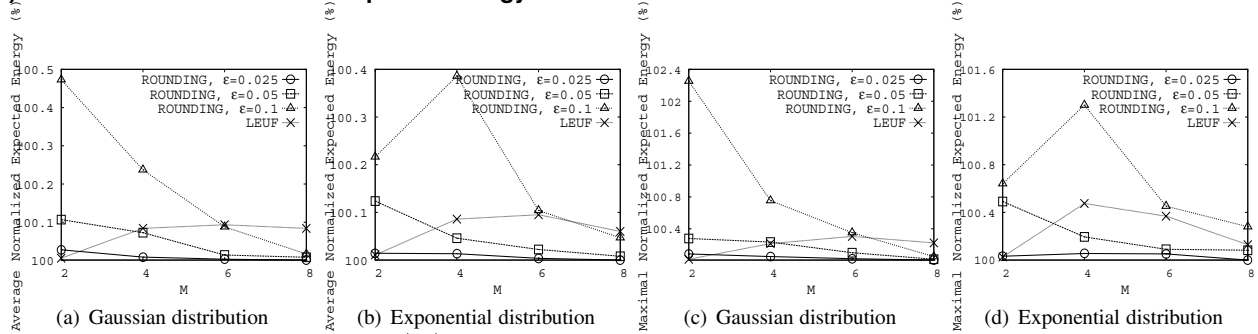
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**Figure 3. Experimental results when  $|T| = 12$ : (a) and (b) for the average normalized expected energy and (c) and (d) for the maximal normalized expected energy.**



**Figure 4. Experimental results when  $|T| = 16$ : (a) and (b) for the average normalized expected energy and (c) and (d) for the maximal normalized expected energy.**

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