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*TIK-Report*  
*Nr. 142, July 2002*

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July 2002  
Version 1  
TIK-Report Nr. 142

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# Call Admission Control for Advance Reservation Requests with Alternatives\*

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July 29, 2002

## Abstract

Call admission control is the problem of deciding for a given set of connection requests which of them to accept and which to reject, with the goal of maximizing the profit obtained from the accepted requests. The problem is considered in a scenario with advance reservations where users can specify several alternatives for when and how they want their connections to be established. Two variants are studied: In BCA (batch call admission), all calls request to be established at the same time. In GCA (general call admission), every request specifies a starting time and duration. Both variants generalize the well-studied unsplittable flow problem. For star networks, approximation algorithms with constant ratio for BCA with arbitrary edge capacities and for GCA with unit edge capacities are presented. For GCA with arbitrary edge capacities, ratio  $O(\log R)$  is achieved, where  $R$  is the ratio of the maximum edge capacity to the minimum edge capacity. For trees and trees of rings with unit edge capacities, constant-factor approximation algorithms for BCA are given.

## 1 Introduction

We consider call admission control (CAC) in networks with bandwidth reservation. Bandwidth reservation means that a connection request (call) specifies a certain bandwidth requirement and, if the call is established, the network allocates the requested amount of bandwidth on all links along some path from the sender to the receiver of the call. This is important for applications such as video conferencing that benefit from guaranteed quality of service.

We assume that each connection request is associated with a certain profit. The network provider obtains the profit only if the call is established. CAC is the problem of deciding which

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\*An extended abstract of this paper appears in the *Proceedings of the 3rd Workshop on Approximation and Randomization Algorithms in Communication Networks (ARACNE)*, Carleton Scientific, September 2002.

<sup>†</sup>Research partially supported by the Swiss National Science Foundation under Contract No. 2100-63563.00 (AAPCN) and Contract No. 5003-057753/3 (ANASOFT/PCAC) and by EU Thematic Network APPOL II, IST-2001-32007, with funding provided by the Swiss Federal Office for Education and Science (BBW).

requests to accept and which to reject, with the goal of maximizing the total profit obtained from the accepted calls.

In this paper, we consider CAC in a scenario with advance reservations and call alternatives. Advance reservation means that requests for a connection are submitted to the network earlier than the time when the connection should be established. A request is determined by giving the sender, the receiver, the bandwidth requirement, the starting-time, the duration, and the profit value. Allowing call alternatives means that a user can specify several alternative requests for how to establish a connection. The network can either accept one of the alternatives, or reject the call completely. We allow that all request parameters can be different for different alternatives of a call.

In the scenario that we consider, the network need not handle each request immediately, but is allowed to collect requests over some period of time and then decide about acceptance or rejection of the collected requests. For example, one could imagine that requests for a connection must be submitted to the network until 5.00pm on the day before the connection is to be established. After 5.00pm, the network could consider all advance reservations for the next day together and select those requests that maximize the total profit obtained. In this way, the problem becomes an off-line problem, and the network can use better algorithms for optimizing the CAC decisions.

## 1.1 Preliminaries and Problem Definitions

The network is represented by a simple, undirected graph  $G = (V, E)$  and a function  $B : E \mapsto \mathbb{R}$  that maps each edge  $e \in E$  to a positive capacity  $B(e)$ . Let  $B_{\min} = \min_{e \in E} B(e)$  and  $B_{\max} = \max_{e \in E} B(e)$  denote the minimum and maximum edge capacity in the network, respectively, and let  $R = B_{\max}/B_{\min}$  be the ratio of the maximum edge capacity to the minimum edge capacity. A call  $c$  is a set of call instances (alternatives), and each call instance  $i = (u_i, v_i, b_i, t_i, d_i, p_i)$  is a tuple consisting of a source node  $u_i \in V$ , a destination node  $v_i \in V$ , a positive bandwidth requirement  $b_i \in \mathbb{R}$ , a starting time  $t_i \in \mathbb{N}$ , a duration  $d_i \in \mathbb{N}$ , and a profit  $p_i \in \mathbb{R}$ . For a given set  $C$  of calls, we denote by  $I_C = \bigcup_{c \in C} c$  the set of all instances of all calls in  $C$ . If  $C$  is clear from the context, we also write  $I$  for  $I_C$ . The call to which a call instance  $i$  belongs is denoted by  $c(i)$ .

An instance of the problem GENERALCALLADMISSION (GCA) is given by a graph  $G$  with edge capacities  $B$  and a set  $C$  of calls. A solution is a subset  $A \subseteq I_C$  (the set of *accepted* call instances) and an assignment of a path  $path(i)$  from  $u_i$  to  $v_i$  in  $G$  for every accepted call instance  $i \in A$ . A solution is feasible if (1) at most one call instance is accepted from each call, and (2) the sum of the bandwidth requirements of simultaneously active call instances using the same edge does not exceed the capacity of the edge:

$$\forall e \in E : \forall t \in \mathbb{N} : \sum_{i \in A(e,t)} b_i \leq B(e),$$

where  $A(e, t) = \{i \in A : t_i \leq t < t_i + d \text{ and } e \in path(i)\}$  is the set of accepted call instances that use edge  $e$  at time  $t$ . The goal is to compute a feasible solution that maximizes the sum  $\sum_{i \in A} p_i$  of the profits of the accepted call instances. We also consider the special case of GCA where all call instances overlap in time, called BATCHCALLADMISSION (BCA). In particular, BCA includes the case where all call instances have the same starting-time. If alternatives are not allowed, BCA is equivalent to the unsplittable flow problem [AR01].

We distinguish the cases of unit edge capacities (all edges have the same capacity) and arbitrary edge capacities. We refer to BCA and GCA in networks with unit edge capacities as unit-BCA and unit-GCA, respectively.

BCA is  $\mathcal{NP}$ -hard even if the network consists of a single edge and every call consists of a single instance. This restricted version of BCA is equivalent to the KNAPSACK problem (the capacity of the edge corresponds to the size of the knapsack and the calls correspond to objects to be packed in the knapsack), which is  $\mathcal{NP}$ -hard [GJ79]. Therefore, we are interested in approximation algorithms. We say that an algorithm for GCA or BCA is a  $\rho$ -approximation algorithm if it runs in polynomial time and always outputs a feasible solution whose total profit is at least a  $1/\rho$ -fraction of the optimal solution.

We consider BCA and GCA in stars, trees, and trees of rings. A star is a graph that consists of a center  $x$  and  $n$  nodes  $y_1, y_2, \dots, y_n$  that are adjacent to  $x$  but not to each other. A tree is a connected graph without cycles. A tree of rings is a connected graph in which all biconnected components are rings; roughly speaking, it consists of a number of rings that are interconnected in a tree structure such that two rings share at most one node. Note that the path  $path(i)$  for call instance  $i$  is uniquely determined by  $u_i$  and  $v_i$  in stars and trees.

GCA on a single link and unit-BCA on a line network (a chain of nodes) are closely related: the time slots in GCA correspond to the links in BCA, i.e., a call with starting time  $t_i$  and duration  $d_i$  in the GCA problem can be viewed as a call from node  $t_i$  to node  $t_i + d_i$  in the BCA problem for a line network with nodes  $0, 1, \dots, \max_{i \in I} t_i + d_i$ .

## 1.2 Motivation

In many studies on CAC, it is assumed that users submit connection requests only at the time when the connection should be established. For each request, the network must decide immediately whether to accept or reject the call. This on-line scenario makes it difficult for the provider to optimize the profit, and for the users there is always the uncertainty of whether it will be possible to establish the desired connection at the desired time. Advance reservations [GO00, LENO02] and call alternatives are natural concepts that are attractive for the network provider as well as for users. For the network provider, they increase the flexibility and the potential of optimizing the profit obtained from the accepted requests. For the users, advance reservations that are confirmed ahead of time (although not immediately) provide a guarantee that the desired video conference etc. can take place at the desired time. By specifying several alternatives, the user can increase the probability that the network accepts the call.

Since already the unsplittable flow problem (a special case of BCA) is hard to approximate in general directed graphs [GKR<sup>+</sup>99], it is natural to consider special classes of network topologies. We consider stars, trees, and trees of rings. CAC algorithms for star networks actually apply to general networks if the provider has rented the capacity of his/her network from another provider according to the hose model of bandwidth reservations. In the hose model, there are ingress and egress limits for each border router of the network, and the network guarantees that it has enough bandwidth for any traffic pattern that does not violate the ingress and egress limits (see [DGG99]). Thus, the network is equivalent to a star network for the purposes of admission control. In [CESV02] a scalable distributed CAC scheme based on hose-model bandwidth reservations is described where CAC algorithms for star networks are applicable.

Table 1: Known results and results of this paper (in bold).

	unit-BCA	BCA	unit-GCA	GCA
single link	$1 + \varepsilon$	$1 + \varepsilon$	5	5
star	<b>5</b>	<b>10</b>	<b>10</b>	$O(\log R)$
line	5	–	–	–
tree	<b>10</b>	–	–	–
tree of rings	<b>18</b>	–	–	–

### 1.3 Summary of Results

In this paper we propose new approximation algorithms for BCA and GCA in stars and for unit-BCA in trees and trees of rings. The previously known approximation ratios for lines and single links as well as the ratios achieved by our new algorithms (in bold) are shown in Table 1. Note that our algorithms do not assume any restrictions on the bandwidth requirements. In fact, if one requires all bandwidth requirements to be small (at most a logarithmic fraction of the smallest edge capacity), a constant-factor approximation algorithm for BCA in arbitrary graphs can easily be obtained using randomized rounding [RT87]. Regarding the known results shown in Table 1, ratio 5 for unit-BCA in lines and GCA on single links is achieved by an algorithm from [BNBYF<sup>+</sup>01] and ratio  $1 + \varepsilon$  for BCA on single links can be obtained by adapting an FPTAS for KNAPSACK (e.g. [IK75]). Table entries “–” indicate that no good approximation algorithm is known for these problem variants yet.

### 1.4 Related Work

We are not aware of any previous work addressing the GCA problem in our formulation (with advance reservations and call alternatives), but call admission control in networks with bandwidth reservation has been studied by many authors. Much of the previous work has dealt with the on-line scenario in which each request must be accepted or rejected immediately. We refer to [Leo98] and [BEY98, Chapter 13] for surveys of on-line CAC algorithms. Here, we mention only the on-line algorithm from [AAP93] that achieves a ratio of  $O(\log nTF)$  for calls with durations in  $[1, T]$  and profit values in  $[b_i d_i, Fb_i d_i]$  provided that the maximum bandwidth requirement is at most a logarithmic fraction of the minimum edge capacity. This algorithm can deal with advance reservations.

In the off-line case, the maximum edge-disjoint paths problem (MEDP), a special case of BCA, has received considerable attention; see [Kle96] for an introduction. For general directed graphs, the problem is not approximable within  $m^{0.5-\varepsilon}$  unless  $\mathcal{P} = \mathcal{NP}$ , where  $m$  is the number of edges [GKR<sup>+</sup>99]. Approximation within ratio  $O(\sqrt{m})$  is possible for the more general unsplittable flow problem (BCA without alternatives) if the maximum bandwidth requirement is at most the minimum edge capacity (see [AR01] and the references given there).

Concerning the approach we use in our approximation algorithms, a paper by Bar-Noy et al. [BNGNS01] is highly relevant. That paper considers a scheduling problem in which the total weight of jobs completing by their deadlines is maximized. A linear programming relaxation is solved and the fractional solution is decomposed into a convex combination of integral solutions using a coloring algorithm. The best of the integral solutions obtained in this way is output by the algorithm. This

technique was generalized to weighted independent set problems for certain classes of graphs that can be colored with a constant times the clique number many colors in [EJ00]. In [PUW00], the technique of [BNGNS01] was applied to other scheduling problems with rejection. Among other results, they give a 4-approximation algorithm for a resource-constrained scheduling problem that includes GCA on a single link and unit-BCA in a line network (without alternatives) as special cases. In their algorithm, the decomposition of a fractional solution into a convex combination of integral solutions is done with a coloring algorithm that is related to the approach we use in this paper.

Bar-Noy et al. present a unified approach to resource allocation and scheduling using the so-called *local ratio technique* [BNBYF<sup>+</sup>01]. One of the applications of their approach is GCA on a single link (and thus also unit-BCA in line networks), for which they obtain a 5-approximation algorithm. This approach is extended to lines, rings, and trees in [LENO02]. For trees with  $n$  nodes, they obtain approximation ratio 5 for unit-BCA and  $O(\log n)$  for unit-GCA without alternatives.

## 2 Call Admission in Star Networks

We assume throughout this paper that every call instance is such that it could be established if there was no other traffic in the network, i.e., we assume that  $b_i \leq B(e)$  for all  $e \in \text{path}(i)$  and for all  $i \in I$ . Call instances violating this condition can easily be detected and discarded from the input.

### 2.1 Linear Programming Relaxation

Let  $T = \max_{i \in I} t_i + d_i$ . Consider the following linear programming relaxation of GCA, which uses a variable  $x_i$  for every call instance  $i$  that indicates whether  $i$  is accepted ( $x_i = 1$ ) or rejected ( $x_i = 0$ ):

$$(LP_1) \quad \max \sum_{i \in I} p_i x_i \quad (1)$$

$$\text{s.t.} \quad \sum_{i \in c} x_i \leq 1, \quad c \in C \quad (2)$$

$$\sum_{i \in I(e,t)} x_i b_i \leq B(e), \quad e \in E, 0 \leq t < T \quad (3)$$

$$0 \leq x_i \leq 1, \quad i \in I \quad (4)$$

where  $I(e, t) = \{i \in I : e \in \text{path}(i), t_i \leq t < t_i + d_i\}$  is the set of all call instances that use edge  $e$  at time  $t$ . Constraint (2) says that at most one instance of a call can be accepted. Constraint (3) says that, for any edge  $e$  and any time  $t$ , the sum of the bandwidth requirements of accepted call instances that use edge  $e$  at time  $t$  is at most  $B(e)$ , ensuring that the capacity of  $e$  is not exceeded. (Note that it suffices to include constraints (3) only for those values of  $t$  that are the starting time of at least one call instance; hence, the size of  $LP_1$  is polynomial in the size of the input.) The objective function (1) simply states that the goal is to maximize the total profit of accepted call instances. If we required that  $x_i \in \{0, 1\}$  for all  $i \in I$ , we would have an integer linear programming formulation of GCA (but nothing would be gained because solving an ILP is an  $\mathcal{NP}$ -hard problem).

Instead, we relax the integrality constraint and allow the  $x_i$  to take arbitrary values between zero and one (constraint (4)). The resulting linear program can be solved optimally in polynomial time (see e.g. [Sch86]), yielding values  $\hat{x}_i$  for all  $i \in I$ . The corresponding objective value  $\hat{z} = \sum_{i \in I} p_i \hat{x}_i$  is an upper bound on the optimal integral solution. As the values  $\hat{x}_i$  can be fractional, we have to show how an integral solution can be derived.

## 2.2 Discretizing the LP Solution

As the next step, we round the values  $\hat{x}_i$  to values  $\bar{x}_i$  that are integral multiples of  $\frac{1}{N}$  for some integer  $N$ . This can be done without losing much in the objective function, i.e., for any given  $\delta > 0$  we can achieve  $\bar{z} = \sum_{i \in I} \bar{x}_i p_i \geq (\sum_{i \in I} \hat{x}_i p_i) / (1 + \delta) = \hat{z} / (1 + \delta)$  by choosing  $N$  sufficiently large (depending on  $\delta$ ). For a fixed  $\delta > 0$ , the value of  $N$  can be chosen polynomial in the size of the input. To achieve this, proceed as follows. Let  $n$  denote the total number of call instances. Fix some  $\delta' > 0$ . First, compute a new solution  $\tilde{x}$  by setting  $\tilde{x}_i = (1 - \delta')\hat{x}_i + \frac{\delta'}{n}$ . The solution  $\tilde{x}$  is feasible and has objective value at least  $(1 - \delta')\hat{z}$ . Now choose  $N = \lceil n/\delta'^2 \rceil$  and obtain  $\bar{x}$  by rounding each  $\tilde{x}_i$  down to the nearest multiple of  $1/N$ . Obviously,  $\bar{x}$  is feasible. Furthermore, we have that

$$\bar{x}_i \geq \tilde{x}_i - \frac{1}{N} \geq \tilde{x}_i - \delta' \frac{\delta'}{n} \geq \tilde{x}_i (1 - \delta') \geq \hat{x}_i (1 - \delta')^2$$

This implies that the objective value  $\bar{z}$  of  $\bar{x}$  is at least  $(1 - \delta')^2 \hat{z} \geq (1 - 2\delta')\hat{z}$ . If we want a solution with objective value at least  $\hat{z}/(1 + \delta)$ , we can choose  $\delta' = \delta/(2 + 2\delta)$ . Then  $N$  is polynomial in  $n$  and  $1/\delta$ .

Now consider the set  $I'$  of call instances that contains  $N\bar{x}_i$  copies of every instance  $i \in I$ . Note that  $\sum_{i \in I'} p_i = \sum_{i \in I} N\bar{x}_i p_i = N\bar{z}$ . Our goal is to partition  $I'$  into  $k \leq \alpha N$  feasible solutions  $A_1, A_2, \dots, A_k$ , where we try to have  $\alpha$  as small as possible. If we have achieved that, a pigeonhole argument shows that at least one of the solutions must have total profit at least  $\frac{N\bar{z}}{k} \geq \frac{1}{\alpha}\bar{z}$ . Let  $A_j$  be the feasible solution that maximizes the total profit among  $A_1, \dots, A_k$ . Our algorithm outputs  $A_j$  and, consequently, achieves approximation ratio  $\alpha(1 + \delta)$ .

In fact, it is possible to skip the rounding to multiples of  $\frac{1}{N}$  and put the fractional call instances into  $I'$  directly.  $I'$  is then partitioned into fractions of feasible solutions, but otherwise the algorithms remain essentially the same. The advantage is that we can avoid losing a factor of  $1 + \delta$  due to the rounding to multiples of  $\frac{1}{N}$  in this way. Nevertheless, the approach described above (with the rounding) is conceptually simpler and so we use it in this paper. For the formulation of our theorems, we assume that the fractional approach (without rounding) is used so that we do not lose the factor of  $1 + \delta$  in the approximation ratio.

## 2.3 Solving the Partitioning Problem

We want to partition  $I'$  into feasible (integral) solutions to the original instance of BCA or GCA. In the following we will refer to these feasible solutions as *bins*. From the way in which  $I'$  was obtained from a feasible (fractional) solution to  $LP_1$ , we know that  $I'$  contains at most  $N$  call instances belonging to the same call (from constraint (2)) and that  $\sum_{i \in I'(e,t)} b_i \leq N \cdot B(e)$  for all  $e \in E$  and all  $t = 0, 1, \dots, T$  (from constraint (3)). We refer to the value  $\sum_{i \in I'(e,t)} b_i / B(e)$  as the load of edge  $e$  at time  $t$ . Thus constraint (3) tells us that the maximum load of an edge due to call instances in  $I'$  is at most  $N$ .

1. Maintain feasible solutions  $A_1, A_2, \dots$ ; initially, all  $A_j$  are empty.
2. Consider the instances in group 1 in arbitrary order, and then the instances in group 2 in arbitrary order. Add each instance  $i$  to the solution  $A_j$  such that  $A_j$  remains feasible and  $j$  is minimized among all such solutions  $A_j$ .

Figure 1: Algorithm for groups 1 and 2.

### 2.3.1 Partitioning for BCA

In the case of BCA, the partitioning problem is related to call scheduling. Call scheduling in stars and trees was studied in [EJ97]. However, there are some differences: While the makespan of the schedule produced by approximation algorithms for call scheduling is compared with an optimal schedule, we compare the number of bins used by a partitioning algorithm with the maximum load of an edge. Furthermore, different instances of the same call must not be put in the same bin, a constraint that does not exist for call scheduling (where there is only one instance of each call).

We proceed as follows. First, we classify the call instances in  $I'$  into the following groups. Group 1 contains all instances  $i$  such that  $b_i > B(e)/2$  for all  $e \in path(i)$ . Group 2 contains all instances  $i$  such that  $b_i \leq B(e)/2$  for all  $e \in path(i)$ . Group 3 contains all instances  $i$  using two edges  $e_1$  and  $e_2$  with  $B(e_1) > B(e_2)$  and satisfying  $b_i \leq B(e_1)/2$  and  $b_i > B(e_2)/2$ .

The instances in groups 1 and 2 are treated separately from the instances in group 3. For instances in groups 1 and 2, the partitioning algorithm shown in Figure 1 is used.

Let  $k_1$  be the number of non-empty solutions  $A_j$  after the execution of this algorithm.

**Lemma 1**  $k_1 \leq 5N$ .

**Proof.** First, consider an instance  $i$  from group 1 and assume that  $i$  is put in solution  $A_j$  by the algorithm. This means that in every solution  $A_h$ ,  $1 \leq h \leq j - 1$ , either an edge used by  $i$  was blocked by a previous call instance, or  $A_h$  contained another instance belonging to  $c(i)$ . There are at most  $N - 1$  previous instances belonging to  $c(i)$ , so at most  $N - 1$  solutions can be blocked for this reason. Furthermore, all previous call instances are also from group 1, so they occupy at least half the edge capacity. As the load of an edge  $e$  is at most  $N$ , each edge can be blocked in at most  $2N$  solutions. As  $i$  uses at most two edges, at most  $N - 1 + 2N + 2N$  solutions are blocked, and we have  $j \leq 5N$ .

Now consider an instance  $i$  from group 2 and assume that  $i$  is put in solution  $A_j$  by the algorithm. Instance  $i$  is blocked from a solution  $A_h$  only if  $A_h$  contains another call instance from the same call or if an edge  $e \in path(i)$  has less than  $b_i$  bandwidth available in  $A_h$ ; in the latter case, the bandwidth used on  $e$  in  $A_h$  is at least  $B(e)/2$ . The same reasoning as above shows that  $j \leq 5N$ . Thus, no instance is assigned to a solution  $A_j$  with  $j > 5N$ .  $\square$

Now consider the call instances in group 3. Use the algorithm shown in Figure 2 to partition them into feasible solutions. We remark that the order in which this algorithm processes the call instances in group 3 is essential: if they were processed in arbitrary order, no constant approximation ratio could be achieved.

Let  $k_2$  be the number of non-empty solutions  $B_j$  after the execution of this algorithm.

1. Maintain feasible solutions  $B_1, B_2, \dots$ ; initially, all  $B_j$  are empty.
2. Let  $e_1, e_2, \dots, e_n$  be the edges of the star in order of non-increasing capacities, i.e.,  $B(e_1) \geq B(e_2) \geq \dots \geq B(e_n)$ .
3. For  $q = 1, 2, \dots, n$ , do the following: let  $I(e_q)$  be the set of all call instances  $i$  that use  $e_q$ , but do not use an edge with smaller index than  $e_q$ ; consider the call instances  $i \in I(e_q)$  in arbitrary order, and add each such instance  $i$  to the solution  $B_j$  such that  $B_j$  remains feasible and  $j$  is minimized among all such solutions  $B_j$ .

Figure 2: Algorithm for group 3.

**Lemma 2**  $k_2 \leq 5N$ .

**Proof.** Consider an instance  $i$  from group 3 and assume that  $i$  is put in solution  $B_j$  by the algorithm. Let  $e_q$  and  $e_r$  be the edges used by  $i$ , with  $q < r$ . By the definition of group 3,  $b_i \leq B(e_q)/2$  and  $b_i > B(e_r)/2$ . At most  $N - 1$  solutions  $B_h$  are blocked by other instances of the same call. At most  $2N$  solutions are blocked because less than  $b_i$  bandwidth is available on  $e_q$  (as the bandwidth occupied on  $e_q$  is at least  $B(e_q) - b_i \geq B(e_q)/2$  in all these solutions). At most  $2N$  solutions are blocked because less than  $b_i$  bandwidth is available on  $e_r$ : this is because every previously processed call instance using  $e_r$  occupies more than half the capacity of  $e_r$ ; so whenever a solution is blocked on  $e_r$ , more than  $B(e_r)/2$  of the capacity of  $e_r$  is occupied in that solution. Summing up, we obtain that  $j \leq 5N$ . No instance is added to a solution with index greater than  $5N$ , so we have  $k_2 \leq 5N$ .  $\square$

So we have partitioned  $I'$  into at most  $10N$  feasible solutions. At least one of these feasible solutions must have total profit at least  $\bar{z}/10$ . (Otherwise, the total profit of all solutions would be smaller than  $N\bar{z}$ , a contradiction.) The feasible solution produced by our algorithm has a total profit that is at least a 1/10-fraction of the optimal profit.

In the case of unit-BCA, there are no calls in group 3, and so we can partition  $I'$  into at most  $5N$  feasible solutions.

**Theorem 1** *There is a 10-approximation algorithm for BCA and a 5-approximation algorithm for unit-BCA in star networks.*

### 2.3.2 Partitioning for GCA

Now we consider the general case where the call instances in  $I'$  can have arbitrary starting times and durations. We say that a call instance  $i$  using edges  $e_1$  and  $e_2$  with  $B(e_1) \geq B(e_2)$  is *big* if  $b_i > B(e_1)/3$  (and thus  $b_i > B(e_2)/3$ ), is *small* if  $b_i \leq B(e_1)/3$  and  $b_i \leq B(e_2)/2$ , and is *mixed* if  $b_i \leq B(e_1)/3$  and  $b_i > B(e_2)/2$ .

We propose the greedy algorithm shown in Figure 3 for partitioning  $I'$  into feasible solutions. Let  $k$  be the number of non-empty solutions at the end of the algorithm.

**Lemma 3**  $k = O(N \log R)$ .

1. Maintain feasible solutions  $A_1, A_2, \dots$ ; initially, all  $A_j$  are empty.
2. Consider the instances in  $I'$  in the order of non-decreasing starting times. Add each instance  $i$  to the solution  $A_j$  such that  $A_j$  remains feasible and  $j$  is minimized among all such solutions  $A_j$ .

Figure 3: Partitioning algorithm for GCA.

**Proof.** Consider an instance  $i \in I'$  and assume that  $path(i)$  consists of two edges  $e_1$  and  $e_2$ , with  $B(e_1) \geq B(e_2)$ . (The case that  $path(i)$  consists of a single edge is simpler.) Assume that  $i$  is added to  $A_j$  by the algorithm. This means that for  $A_h$ ,  $1 \leq h \leq j - 1$ , at least one of the following conditions holds:

1.  $A_h$  contains another instance of the same call as  $i$ .
2. Edge  $e_1$  has less than  $b_i$  bandwidth available at time  $t_i$  in  $A_h$ .
3. Edge  $e_2$  has less than  $b_i$  bandwidth available at time  $t_i$  in  $A_h$ .

Note that if enough bandwidth is available on an edge at time  $t_i$ , then it is also available in all later time steps, because the call instances are processed in order of non-decreasing starting times.

We claim that  $j \leq 4.5N$  if  $i$  is a small call,  $j \leq 5.5N(1 + \log_{1.5}(B(e_1)/B_{\min}))$  if  $i$  is a mixed call, and  $j \leq 7N + 5.5N(1 + \log_{1.5}(B_{\max}/B_{\min}))$  if  $i$  is a big call. The claim is proved by induction on the number of call instances processed by the partitioning algorithm. Distinguish the following cases:

*Case 1:*  $i$  is small, i.e.,  $b_i \leq B(e_1)/3$  and  $b_i \leq B(e_2)/2$ . At most  $N - 1$  solutions are blocked by different instances of the same call. At most  $1.5N$  solutions are blocked because  $e_1$  does not have enough bandwidth available, and at most  $2N$  solutions are blocked because  $e_2$  does not have enough bandwidth available. Therefore,  $j \leq 4.5N$ , and the claim holds for call instance  $i$ .

*Case 2:*  $i$  is mixed, i.e.,  $b_i \leq B(e_1)/3$  and  $b_i > B(e_2)/2$ . Note that  $B(e_2) \leq 2B(e_1)/3$ . At most  $N - 1$  solutions are blocked by different instances of the same call. At most  $1.5N$  solutions are blocked because  $e_1$  does not have enough bandwidth available. Let  $h < j$  be the maximum index of a set  $A_h$ ,  $1 \leq h < j$ , in which  $e_2$  is used by a call instance  $i'$  with  $b_{i'} \leq B(e_2)/3$ . Either no such  $h$  exists (and we have  $j \leq 5.5N$ ), or  $h \geq j - 5.5N$ .

Let  $e_2$  and  $e_3$  be the edges used by  $i'$ . If  $b_{i'} \leq B(e_3)/2$ , we can conclude that  $h \leq 4.5N$  because  $i'$  is small. Thus  $j \leq h + 5.5N \leq 2 \cdot 5.5N \leq 5.5N(1 + \log_{1.5}(B(e_1)/B_{\min}))$ , where the last inequality holds because  $B(e_1) \geq 1.5B(e_2) \geq 1.5B_{\min}$ .

Now assume that  $b_{i'} > B(e_3)/2$ . This implies  $B(e_3) < B(e_2)$ . As  $i'$  is mixed, we have by the inductive hypothesis that  $h \leq 5.5N(1 + \log_{1.5}(B(e_2)/B_{\min}))$ . As  $j \leq h + 5.5N$ , we obtain  $j \leq 5.5N(1 + \log_{1.5}(1.5B(e_2)/B_{\min})) \leq 5.5N(1 + \log_{1.5}(B(e_1)/B_{\min}))$ .

The claim holds for call instance  $i$ .

*Case 3:*  $i$  is big, i.e.,  $b_i > B(e_1)/3$  (and thus  $b_i > B(e_2)/3$ ). Let  $h < j$  be the maximum index of a set  $A_h$ ,  $1 \leq h < j$ , in which  $e_1$  or  $e_2$  is used by a call instance  $i'$  with  $b_{i'} \leq B(e_1)/3$  or  $b_{i'} \leq B(e_2)/3$ , respectively. We find that either no such  $h$  exists (and we get  $j \leq 7N$ , because  $e_1$  and  $e_2$  can each be blocked in at most  $3N$  solutions by a call with bandwidth at least  $B(e_1)/3$  and  $B(e_2)/3$ , respectively, and at most  $N - 1$  solutions can be blocked because they contain another instance of  $c(i)$ ) or that  $h \geq j - 7N$ . In the latter case, we observe that  $i'$  is a small call or a mixed

1. Maintain feasible solutions  $A_1, A_2, \dots$ ; initially, all  $A_j$  are empty.
2. Consider the instances  $i \in I'$  in the order of non-decreasing distance between  $lca(i)$  and the root of the tree. Add each instance  $i$  to the solution  $A_j$  such that  $A_j$  remains feasible and  $j$  is minimized among all such solutions  $A_j$ .

Figure 4: Partitioning for BCA in tree networks.

call and that  $h \leq 5.5N(1 + \log_{1.5}(B_{\max}/B_{\min}))$  by the inductive hypothesis. As  $j \leq h + 7N$ , the claim holds for the big call instance  $i$ .

So we obtain that  $k \leq 7N + 5.5N(1 + \log_{1.5}(B_{\max}/B_{\min})) = O(N \log R)$ .  $\square$

At least one of the solutions  $A_1, \dots, A_k$  must have total profit at least  $\bar{z}/O(\log R)$ . Thus we obtain approximation ratio  $O(\log R)$  for the case of arbitrary edge capacities.

In the case of unit edge capacities ( $B(e) = 1$  for all  $e \in E$ ), there are no mixed calls. A call instance  $i$  with  $b_i \leq \frac{1}{2}$  is blocked in at most  $N - 1$  solutions by other instances of the same call and in at most  $4N$  solutions because an edge in  $path(i)$  does not have enough bandwidth available at time  $t_i$ . Hence, such a call instance can be put in one of  $5N$  solutions. A call instance  $i$  with  $b_i > \frac{1}{2}$  can be blocked in at most  $4N$  solutions by other call instances with bandwidth requirement greater than  $\frac{1}{2}$  and in at most  $N - 1$  solutions by other instances of the same call. Hence it can be put in one of  $10N$  solutions. Thus we can partition  $I'$  into at most  $10N$  feasible solutions in the case of unit edge capacities.

**Theorem 2** *There is a 10-approximation algorithm for unit-GCA and an  $O(\log R)$ -approximation algorithm for GCA in star networks, where  $R$  is the ratio of the maximum edge capacity to the minimum edge capacity.*

### 3 Trees and Trees of Rings

In this section we present algorithms that achieve constant approximation ratio for unit-BCA in trees and trees of rings. We use the same basic approach as for star networks. We assume that  $B(e) = 1$  for all edges  $e$ .

Let us consider tree networks first. An optimal fractional solution to  $LP_1$  is computed and then the fractional values  $\hat{x}_i$  are rounded to values  $\bar{x}_i$  that are multiples of  $\frac{1}{N}$  for some sufficiently large integer  $N$ . (Alternatively, the fractional approach without rounding mentioned in Section 2 can be used.) A set  $I'$  of call instances is obtained by taking  $N\bar{x}_i$  copies of every instance  $i \in I$ .

Assume that the tree is rooted at an arbitrary node. For a call instance  $i$ , let  $lca(i)$  be the least common ancestor of  $path(i)$ , i.e., the node that is closest to the root among all nodes on  $path(i)$ .

The set  $I'$  is then partitioned into feasible solutions using the algorithm shown in Figure 4. Let  $k$  be the number of non-empty solutions  $A_j$  produced by the algorithm.

**Lemma 4**  $k \leq 10N$ .

**Proof.** Consider a call instance  $i \in I'$ . Assume that  $i$  is put into  $A_j$  by the algorithm. At most  $N - 1$  solutions  $A_h$  are blocked because they contain another instance of the same call.

Let  $v = lca(i)$ . Let  $e_1$  and  $e_2$  be the two edges incident to  $v$  that are on  $path(i)$ . (If there is only one such edge, the argument is simpler.) Assume that  $i$  is blocked from some solution  $A_h$  because not enough bandwidth is available. Because of the order in which the algorithm processes the path instances, either  $e_1$  or  $e_2$  does not have enough bandwidth available. If  $b_i \leq \frac{1}{2}$ , there can be at most  $4N$  such solutions  $A_h$ , because in each of these solutions either  $e_1$  or  $e_2$  is used by calls with total bandwidth greater than  $\frac{1}{2}$  and because the maximum load is at most  $N$ . As at most  $N - 1$  solutions are blocked by other instances of the same call as  $i$ , we have  $j \leq 5N$  in this case. If  $b_i > \frac{1}{2}$ , let  $q$  be the maximum index of a set  $A_q$ ,  $q < j$ , such that a call  $i'$  with bandwidth  $b_{i'} \leq \frac{1}{2}$  uses  $e_1$  or  $e_2$  in  $A_q$ . We have  $j - q \leq 5N$ , because in each solution  $A_r$ ,  $q < r < j$ ,  $e_1$  or  $e_2$  is used by a call with bandwidth greater than  $\frac{1}{2}$  or  $A_r$  contains another instance of the same call. As  $b_{i'} \leq \frac{1}{2}$ , the above argument shows that  $q \leq 5N$ , and we can conclude that  $j \leq 10N$  and, therefore,  $k \leq 10N$ .  $\square$

**Theorem 3** *There is a 10-approximation algorithm for unit-BCA in trees.*

Now we consider trees of rings. Unlike in stars, line networks, or tree networks, there are several different paths between any pair of nodes in a tree of rings. It is no longer sufficient to decide which requests to accept and which to reject; we must also determine the paths along which the accepted connections are established.

First, we sketch how  $LP_1$  can be modified for trees of rings. We view the network as a flow network and each call instance  $i$  as a commodity that is to be routed from  $u_i$  to  $v_i$ . The variable  $x_i$  represents the amount of commodity  $i$  that is routed from  $u_i$  to  $v_i$ . We introduce additional variables  $x_{ie}$  that represent the amount of commodity  $i$  that is routed through edge  $e$ . Furthermore, we add flow conservation constraints (for nodes  $v$  different from  $u_i$  and  $v_i$ , the amount of commodity  $i$  that reaches  $v$  must be equal to the amount of commodity  $i$  that leaves  $v$ ) to the LP formulation. In this way, we can still obtain a fractional optimal solution to the linear programming relaxation. Using path stripping [RT87], we obtain a set of fractional paths for each call instance. Each fractional path for a call instance constitutes a *routed call instance*. Again, we round all fractions to multiples of  $\frac{1}{N}$  and multiply by  $N$  to obtain a new set  $I'$  of routed call instances.

The set  $I'$  is partitioned into feasible solutions using the same basic approach as for trees (Figure 4), but the order in which the algorithm processes the routed call instances is determined as follows: Consider a depth-first search traversal of the tree of rings and let  $pre(v)$  denote the resulting pre-order number of  $v$  (i.e., the nodes are numbered in the order in which they are discovered by the DFS). For each routed call instance  $i$ , let  $pre(i)$  be the minimum pre-order number among all nodes on the corresponding path. Then the routed call instances are processed in order of non-decreasing  $pre(i)$  (similar to the path coloring algorithm for trees of rings in [Erl01]).

We claim that  $I'$  is partitioned into at most  $18N$  solutions in this way. Consider a routed call instance  $i$  that is put into  $A_j$ . Let  $v$  be the node with  $pre(v) = pre(i)$ . See Figure 5, where the shaded nodes are the nodes with smaller pre-order number than  $v$ . There are two basic types for the path of  $i$ : it can be a path that does not use an edge in the ring of  $v$  that contains shaded nodes (for example, a path from  $a$  to  $b$ ), or it can be a path that uses at least one edge in that ring (for example, a path from  $b$  to  $c$ ). In either case, there are four edges such that any previously assigned path that intersects the path of  $i$  must use at least one of these edges: if the path of  $i$  is of the first type, these are the edges numbered 1 to 4; if the path is of the second type, these are the edges numbered 3 to 6.

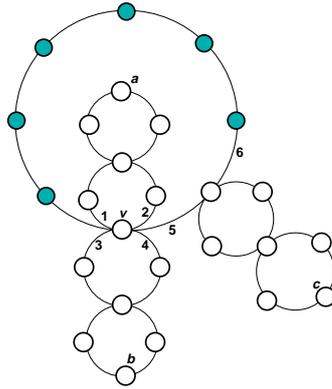


Figure 5: Tree of rings.

Consider the case that  $b_i \leq \frac{1}{2}$ . If  $i$  is blocked in a solution  $A_h$  because of bandwidth, one of the edges on the path of  $i$  must have less than  $\frac{1}{2}$  bandwidth available in  $A_h$ . But all paths in  $A_h$  that intersect  $i$  must use one of four particular edges, so  $A_h$  contributes at least  $\frac{1}{2}$  to the load on these four edges. So there can be at most  $8N$  such solutions  $A_h$ . As there are at most  $N - 1$  other instances of the same call, we have  $j \leq 9N$ .

Now assume that  $b_i > \frac{1}{2}$ . There can be at most  $8N$  solutions in which  $i$  is blocked because the path of another call with bandwidth larger than  $\frac{1}{2}$  intersects the path of  $i$ , and at most  $N - 1$  solutions in which  $i$  is blocked because of another instance of the same call. So we get  $j \leq 9N + 9N = 18N$ . Hence,  $I'$  is partitioned into at most  $18N$  feasible solutions, and we obtain the following theorem.

**Theorem 4** *There is an 18-approximation algorithm for unit-BCA in trees of rings.*

## 4 Conclusion

We have presented approximation algorithms for optimization problems modeling call admission control with advance reservations and call alternatives. The algorithms achieve an approximation ratio that is either constant or of the order  $O(\log R)$ , where  $R$  is the ratio of the maximum edge capacity to the minimum edge capacity of the network. For the problem variants studied in this paper, these are the first known algorithms with such provable worst-case approximation ratios. We remark that our results can easily be adapted to the case of bidirected stars, trees, and trees of rings.

Concerning future work, it would be interesting to see whether the  $O(\log R)$ -approximation algorithm for GCA in stars can be improved to constant ratio and whether there are constant-factor approximation algorithms for BCA (with arbitrary capacities) or GCA in lines, trees, or trees of rings. Besides, it might be possible to extend the approach to other network topologies, e.g. to graphs with small flow number (see [KS02]). An interesting generalization of GCA would be to consider the case where the capacity of an edge can change over time, i.e., the capacity of an edge  $e$  at time  $t$  is given by an arbitrary value  $B(e, t)$ . This problem is encountered in a network with advance reservation if some reservations have already been made (thus occupying part of the bandwidth for certain time periods) and a new group of reservation requests is to be processed.

## Acknowledgments

The author is grateful to Chirdeep Chhabra, Stamatis Stefanakos, Burkhard Stiller, and Danica Vukadinović for interesting and helpful discussions in the context of the projects AAPCN (Approximation Algorithms for Problems in Communication Networks) and PCAC (Price-Based Call Admission Control).

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