Local Checkability, No Strings Attached:スター
(A)cyclicity, Reachability, Loop Free Updates in SDNs

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Abstract

In this work we study local checkability of network properties like \textit{s-t} reachability, or whether the network is acyclic or contains a cycle. A structural property \(S\) of a graph \(G\) is \textit{locally checkable}, if there is a prover-and-verifier pair \((P,V)\) as follows. The prover \(P\) assigns a label to each node in graphs satisfying \(S\). The verifier \(V\) is a constant time distributed algorithm that returns \textit{Yes} at all nodes if \(G\) satisfies \(S\) and was labeled by \(P\), and \textit{No} for at least one node if \(G\) does not satisfy \(S\), regardless of the node labels. The quality of \((P,V)\) is measured in terms of the label size.

Our model has \textit{no strings} attached, i.e., we do not assume any identifiers or port numbers: All we allow is a single exchange of labels between neighbors.

We obtain (asymptotically) tight bounds for the bit complexity of the latter two problems for undirected as well as directed networks, where in the directed case we consider one-way and two-way communication, i.e., we distinguish whether communication is possible only in the edge direction or not. For the one-way case we obtain a new asymptotically tight lower bound for the bit complexity of \textit{s-t} reachability, which also extends to distributed algorithms with constant time execution. For the two-way case we devise an emulation technique that allows us to transfer a previously known \textit{s-t} reachability upper bound without asymptotic loss in the bit complexity.

Lastly, we also study how to apply the concept of local checkability to updating spanning trees in a loop free manner in the context of asynchronous networking, by exploring the similarities between prover-and-verifier pairs and Software Defined Networks (SDNs).

Keywords:
Local Checking, \(s-t\) Reachability, Acyclicity, Software Defined Networking

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1. Introduction

Network administrators must know whether the network is correct [45], e.g., whether destination $t$ is reachable from source $s$, or whether the forwarding rules present in the network imply that packets may potentially be sent in a cycle. Often such network properties are checked by constantly sending probe packets into the network, or, alternatively, by sending the state of all nodes in the network to a central location where all the data is then verified. Both methods take time, often too much time. It would be advantageous to perform these costly global operations only if needed – and otherwise rely on inexpensive local verification [44]. Our paper studies local checkability of fundamental structural properties for directed as well as undirected networks: Nodes of a network can check whether a given global structural property of a network is guaranteed, just by locally comparing their state with the state of their neighbors.

The concept of local checkability was popularized by Naor and Stockmeyer in [41]. In our context, this concept refers to the nodes’ ability to decide (verify) whether the network has the desired property by exchanging labels with their neighbors. The nodes decide Yes if all nodes agree, and No if at least one node disagrees. In practice the disagreement could subsequently be reported. With deterministic algorithms only few properties can be checked locally. If however nodes are allowed to use (a bounded amount of) nondeterminism, a rich complexity hierarchy arises [29]. We focus on the fastest possible case where nodes are only allowed to communicate a single round, cf. [35]. Furthermore, our model has no strings attached, i.e., we do not assume any identifiers or port numbers: All we allow is a single exchange of labels between neighbors.

To obtain a better understanding of nondeterminism in the context of distributed computing, let us quickly explain a toy example. Consider the set Bipartite containing all bipartite graphs. In the sequential setting, Bipartite would be called a language, and the Yes-instances (words) in Bipartite are exactly the graphs that allow a bipartition of the nodes. As in the sequential setting, one may now ask: Is there a (nondeterministic) distributed algorithm deciding whether a given graph $G$ is in Bipartite, using only a single communication round? Indeed, such an algorithm exists [29]. First each node $v$ nondeterministically chooses either the value 0 or 1 and sends it to all neighbors. Next, $v$ checks if all its neighbors sent the value not chosen by $v$.

The proposed nondeterministic algorithm indeed decides Bipartite. A bipartition of the graph corresponds to a nondeterministic choice of 0 and 1 for every node $v$ so that all neighbors of $v$ choose the opposite value. Thus, when the graph $G$ is bipartite, the nodes nondeterministically decide Yes. On the other hand, if $G$ is not bipartite, then in all possible nondeterministic choices of the nodes, at least two nodes will have a neighbor that chose the same value. In that case, the nodes decide No.

Every nondeterministic distributed algorithm can be expressed as a deterministic algorithm with access to a proof labeling [29], where the proof labeling corresponds to an oracle in the sequential setting. More precisely, a nondeterministic algorithm is a pair $(P, V)$, referred to as prover-verifier pair (PVP).
<table>
<thead>
<tr>
<th>Decision Problem</th>
<th>Directed one-way</th>
<th>Directed two-way</th>
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<tr>
<td>s-t reachability</td>
<td>$\Theta(\log n)$</td>
<td>$O(\log \Delta)$</td>
<td>1</td>
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<tr>
<td>Contains a Cycle</td>
<td>not possible</td>
<td>$\Theta(\log n)$</td>
<td>2</td>
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<td>Acyclic</td>
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<td>Tree</td>
<td>$\Theta(\log n)$</td>
<td>$\Theta(\log n)$</td>
<td>$\Theta(\log n)$</td>
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Table 1: The proof label size (in bits) necessary and sufficient for a PVP with respect to different graph decision problems and communication primitives. Here $n$ denotes the number of nodes in the network $G$, and $\Delta$ is the maximum degree of any node in $G$. For s-t reachability, the $O(\log \Delta)$ one-way upper bound with port numbers [29] translates to our two-way model, see Section 3. For Trees, the $O(\log n)$ upper bound for directed one-way communication from [35] also applies in the two-way model.

The task of the prover $P$ is to assign labels to nodes (the proof) in a Yes-instance. The verifier $V$ gets as input at node $v$ only the labels of $v$ and its neighbors. Now $V$ has to decide Yes (at all nodes) in Yes-instances labeled by $P$; In No-instances $V$ has to decide No (for at least one node) regardless of the node labels.

The complexity of such nondeterministic algorithms is measured in terms of the maximum proof label size used by $P$. This corresponds to the number of nondeterministic choices made throughout the execution. In our Bipartite example each node only needs a single bit as its label.

There are two ways to view communication in directed graphs: Nodes can communicate only in the direction of the edge (directed one-way communication), or the edge direction imposes no restrictions for communication but only for the network property itself (directed two-way communication). We investigate both cases, as well as the undirected case, where nodes communicate with all their neighbors. One of our findings is that all three models are fundamentally different, not only in terms of proof label size, but also in terms of decidability. The results for each of our three network structure detection problems are summarized in Table 1.

Another result of our work is the first non-trivial asymptotically tight lower bound for the directed s-t reachability [2] problem that does not rely on descriptive complexity methods. In that problem, two nodes $s$ and $t$ are guaranteed by the problem setting, and the question is whether there is a directed path from $s$ to $t$. Note that both the directed and the undirected variant are well understood in terms of descriptive complexity, and the directed variant is known to be more difficult [2, 10]. While the observations from [10] lead to a proof label size of 1-bit for the undirected variant, showing a non-trivial lower bound for the directed case remained an open question. Our bounds can be extended to distributed algorithms beyond the restriction to a single round of communication: We show in Section 4 that when allowing $k$ rounds of communication, with $k$ being any constant, the bounds on the label size remain at $\Theta(\log n)$.

\[1\] Note that a standard covering argument (the 6-cycle is bipartite, while the 3-cycle is not) can be used to show that one nondeterministic choice is also necessary.
In light of our tight $\Theta(\log n)$ bound for the $s$-$t$ reachability problem with directed one-way communication we revisit the $O(\log \Delta)$ bound from [29]. In particular, their upper bound relies on the fact that the underlying communication mechanism discloses port numbers to the verifier. As we will detail in Section 3 this is not necessary: When directed two-way communication is available, the label can be extended to include checkable port numbers using only $O(\log \Delta)$ additional bits. Since referring to a single port number requires $\log \Delta$ bits anyway, this does not change the asymptotic label size.

Lastly, in Section 5 we extend a line of work started by Schmid and Suomela in [44]: As it turns out, networks managed by a central controller, also known as Software Defined Networks (SDNs), show a strong similarity to prover-verifier pairs. The controller can take on the role of the prover, and the switches in the network itself the role of the verifiers. We show how the concept of local checkability can be used for graceful network reconfigurations with the example of migrating in a loop-free manner between forwarding rules.

1.1. Related Work and Background on Local Checkability

More than 20 years ago, Naor and Stockmeyer [41] raised the question of “What can be computed locally?”. In their work, the notion of Locally Checkable Labelings (LCL) is investigated, where labels are checked in a local fashion, i.e., in a constant number of communication rounds.

This line of research is being followed in many directions, with the concepts of Proof Labeling Schemes (PLS), Nondeterministic Local Decisions (NLD), and Locally Checkable Proofs (LCP) being most related to our work. We note that all three approaches are strictly stronger than the model discussed in this paper (by adding either identities, port numbers, or more potent communication models).

The term Locally Checkable Proofs was coined by Göös and Suomela [29] as an extension to Locally Checkable Labelings, where LCP($f$) allows for $f(n)$ bits of additional information per node. They study decision problems from the viewpoint of nondeterministic distributed local algorithms: Is there a proof of size $f(n)$ such that all nodes will output $\text{Yes}$ for $\text{Yes}$-instances, with any (invalid) proof for a $\text{No}$-instance being rejected by at least one node? The authors introduce a complexity hierarchy for various problems, with LCP(0) being equivalent to LCL. For most of the results in [29], unique identifiers are assumed for each node, or at least port numbers – which can be used for verification purposes. Thus, their algorithms may use additional strings of information free of cost, which might not be relevant asymptotically for large proof sizes, but come into play for small labels: E.g., in the case of directed $s$-$t$ reachability, they show that $O(\log \Delta)$ bits suffice by “pointing” at the successor node in the $s$-$t$ path, a technique relying on port numbers.

The Proof Labeling Schemes of Korman et al. [34, 35] differ from LCPs in the sense that they only use one round of communication to transfer the labels.

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2In the context of SDNs, the term loops for cycles is prevalent, which is why we will use the notion of loops when talking about cycles in the forwarding rules of SDNs.
Thus, upper bounds from PLS apply to LCP and lower bounds from LCP apply to PLS, as the LCP model is strictly more powerful than the PLS model. In [35], the authors also investigate the role of unique identities in PLS and show that there are cases where (given) unique identities are necessary, but also examples where the transition to identities is possible. Another difference between LCP and PLS is that in PLS, the identities of the neighboring nodes of a node $v$ are not available to the verifier at $v$. Nonetheless, they assume the nodes to be aware of the port numbers of their edges.

Closely related to our work, they study (among other problems) the question of whether a connected subgraph is a tree and give asymptotically matching upper and lower bounds of $\Theta(\log n)$ bits for directed one-way communication and the undirected case. Their proofs and techniques for trees carry over to the model considered in this paper and are thus referenced in Table 1. For spanning tree verification (also without the notion of labels, cf. [43]), the construction in [35] is also used in the context of Software Defined Networks (SDNs) [44]: Inconsistencies of a spanning tree for routing can be detected locally, triggering a (costly) global recomputation only if needed.

Nondeterministic Local Decisions [26] considers distributed nondeterminism for decision problems. Like LCP and unlike PLS, they allow more than one communication round. However, the proofs are not allowed to depend on the identifier of a node (see [23, 24] for the impact of (missing) identifiers on local decisions). Like in our case the nodes are anonymous to the prover, but unlike in our case they are not anonymous to the verifier. In some sense, as described by [29], the class NLD for connected graphs can be understood as $\text{LCL} \subset \text{NLD} \subset \text{LCP(\infty)}$. Unlike LCP and PLS above, Fraigniaud et al. [26] also study the impact of randomization. Among many other results, they reveal surprising connections between randomization and oracles related to nondeterministic computing: As it turns out, an oracle providing the nodes with the size of the graph gives “roughly […] the same power to nondeterministic distributed computing as randomization does” [26]. Additional recent results concerning the power of randomization for local distributed computing can be found in [9, 22].

A generalization of identifiers, so-called scalar oracles, were studied in [25].

Furthermore, there exists a strong connection between proof labeling schemes and self-stabilization (we refer to [10] for an overview of the topic): As characterized by Blin et al. [11], “any mechanism insuring silent self-stabilization is essentially equivalent to a proof-labeling scheme”. Even more so, the proof size nearly corresponds to the number of registers for self-stabilization [11]. As such, there has been a long line of research connecting local checking with self-stabilization [1, 6, 7, 8].

We ask the question of how a global prover can convince a distributed verifier that it fulfills a certain property. One may also ask the converse question, i.e., how a distributed prover could convince a centralized verifier that knows only node labels, but not the graph structure. This inverted setting is studied in the works of Arfaoui et al. for trees [3] and cycle-freeness [4].

1.2. Preliminaries
Graphs and Node Labels. We model the network as a graph \( G = (V(G), E(G)) \), where \( V(G) \) and \( E(G) \) denote the set of vertices and edges, respectively, and when \( G \) is clear from the context, we write \( V = V(G) \) and \( E = E(G) \). Similarly, we write \( n = n(G) = |V(G)| \) for the number of nodes in \( G \). The graph \( G \) may be either directed or undirected, but we always assume \( G \) to be (weakly\(^3\)) connected. For a node \( v \in V \), we denote by \( \deg_{\text{in}}(v) \) and \( \deg_{\text{out}}(v) \) the number of incoming and outgoing edges of \( v \) in \( G \), respectively. We set \( \deg(v) = \deg_{\text{in}}(v) = \deg_{\text{out}}(v) \) if \( G \) is undirected, and \( \deg(v) = \deg_{\text{in}}(v) + \deg_{\text{out}}(v) \) if \( G \) is directed. By \( \Delta(G) = \max_{u \in V} \deg(u) \) (or simply \( \Delta \)) we denote the maximum degree in \( G \).

For two nodes \( u, v \in V \), let \( \text{dist}(u, v) \) denote the distance between both nodes in \( G \) (regarding the distance function in the underlying undirected graph in the directed case). A \((\text{node}) \text{ labeling for } G\) is a function \( \ell : V \to \{0,1\}^* \) that assigns a finite \textit{label} (i.e., a bit-string) to every node in \( V \).

\textbf{Communication Means.} Let \( G \) be a graph, let \( \ell \) be a node labeling for \( G \), and let \( v \) be a node in \( G \). We now consider three means of communication in \( G \), namely \( U, D_1, \) and \( D_2 \), corresponding to undirected, one-way, and two-way communication, respectively. If \( G \) is undirected, then \( U(v) \) is the multiset \([\ell(u_1), \ldots, \ell(u_k)]\) containing \( \deg(v) \) labels, where \( u_1, \ldots, u_k \) are the neighbors of \( v \). If \( G \) is directed, then we distinguish two cases. For directed one-way communication, \( D_1(v) \) is the multiset \([\ell(u_1), \ldots, \ell(u_k)]\) containing \( \deg_{\text{in}}(v) \) labels, where \( u_1, \ldots, u_k \) are the in-neighbors of \( v \). For directed two-way communication, \( D_2(v) \) is a pair \((I, O)\), where \( I \) is \( D_1(v) \) and \( O \) is the multiset containing \( \deg_{\text{out}}(v) \) many labels of \( v \)'s out-neighbors. I.e., the sets \( U(v), D_1(v), \) and \( D_2(v) \) are the messages received by \( v \) when the corresponding communication method is used. We denote the empty multiset by \([\,]\).

Observe that all multisets above are unordered, i.e., there are no unique identifiers and there is no notion of port labels on the edges. If such an order is necessary (for some verifier), then the means to order the multiset need to be included in the proof labels, since the communication mechanism itself does not attach any strings to the messages. In the directed two-way case, however, there is a clear distinction between messages transferred along the edge direction or opposite to it. Note that this distinction is necessary: If it was not made, the directed two-way mode would essentially be equivalent to the undirected case, since the edge direction becomes indistinguishable.

\textit{Local Checkability.} An \((\text{un})\text{directed network property} \) is specified by a set \( Y \) of (un)directed graphs containing the \textit{Yes-instances}, and any (un)directed graph \( G \not\in Y \) is referred to as a \textit{No-instance}. A \textit{prover-verifier pair} \((\mathcal{P}, V)\) for \( Y \) (\( PV \) for short) works as follows.

The \textit{prover} \( \mathcal{P} \) gets as an input a graph \( G \in Y \) and computes a (finite) node label \( \ell(v) \) for every \( v \in V \). This labeling \( \ell \) obtained from \( \mathcal{P} \) is referred to as

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\(^3\)A directed graph is called weakly connected if the underlying undirected graph is connected.
proof. Let \( G \) be any graph, and let \( \ell \) be any node labeling for \( G \). The verifier \( V \) is a distributed algorithm that gets as an input at node \( v \) the label \( \ell(v) \); and in addition either \( U(v) \) if \( Y \) is an undirected property, or \( D_1(v) \) respectively \( D_2(v) \) depending on the communication means if \( Y \) is a directed property.

A PVP \((P, V)\) is correct for \( Y \) if it satisfies

1. if \( G \in Y \) and \( \ell \) was obtained from \( P \), then \( V \) returns Yes at all nodes; and
2. if \( G \notin Y \), then \( V \) returns No for at least one node, regardless of the node labels.

Whenever necessary, we specify the PVP by the communication means used for the verifier, and write \( U\)-PVP, \( D_1\)-PVP, and \( D_2\)-PVP correspondingly. When \( X \in \{U, D_1, D_2\} \) is some means of communication, then a network property \( Y \) is \( X\)-locally checkable if there is a correct \( X\)-PVP for \( Y \).

The quality of a PVP is measured in terms of the maximum label size in bits assigned by the prover. For a PVP \((P, V)\), the proof size of \((P, V)\) is \( f(n) \) if the labels assigned by \( P \) use at most \( f(n) \) bits in any \( \text{Yes} \)-instance containing at most \( n \) nodes. For a network property \( Y \), the \( X\)-proof size for \( Y \) is the smallest proof size for which there exists a correct \( X\)-PVP for \( Y \). Since the communication means are clear for undirected properties, we omit them in that case. Throughout this paper, all logarithms use base 2 and are rounded up to be of integer value.

2. Checking Network Properties

2.1. Cycles

Let \( \text{U-Cycle} \) denote the set of all undirected connected graphs containing at least one cycle. Let correspondingly \( \text{D-Cycle} \) denote the set of all weakly connected directed graphs containing at least one directed cycle. Note that an undirected graph is in \( \text{U-Cycle} \) exactly if it is not an undirected tree, while a directed graph \( G \) is in \( \text{D-Cycle} \) exactly if \( G \) is not a directed acyclic graph (DAG). In the remainder of this section we establish the following:

**Theorem 1.** For the cycle detection problem, it holds that

1. There is no \( D_1\)-PVP for \( \text{D-Cycle} \).
2. The \( D_2\)-proof size for \( \text{D-Cycle} \) is \( \Theta(\log n) \) bits.
3. The \( U\)-proof size for \( \text{U-Cycle} \) is 2 bits.

We prove each claim listed in Theorem 1 separately, starting with the directed cases. As the first step we show that there cannot be a \( D_1\)-PVP for \( \text{D-Cycle} \).

**Lemma 2.** There is no \( D_1\)-PVP for \( \text{D-Cycle} \).

**Proof.** Assume, for the sake of contradiction, that there exists a correct \( D_1\)-PVP \((P, V)\) for \( \text{D-Cycle} \). Our goal is to construct a \text{No}-instance \( H \) and node labels \( \ell' \) for the nodes in \( H \) so that \( V \) returns \text{Yes} at all nodes. To that end, consider the \text{Yes}-instance \( G \) (depicted in Figure 1) consisting of a cycle with two nodes...
Let \(G\) and \(H\) be instances of \(D\text{-Cycle}\). A and B are the labels assigned to the nodes \(a\) and \(b\) in \(G\) by the prover \(P\), the node labels in the cycle are not shown.

Our No-instance \(H\), as shown in Figure 1, consists of the three nodes \(a\), \(b\), and \(b'\), and the two edges \((a, b)\) and \((a, b')\). Note that indeed, \(H\) does not contain a cycle. By assigning the labels \(\ell'(a) = A\) and \(\ell'(b') = B\), we obtain that for all nodes \(u\) in \(H\) there is a corresponding node \(v\) in \(G\) for which \((\ell'(u), D_1(u)) = (\ell(v), D_1(v))\). The verifier \(V\) can therefore not differentiate between \(u\) and \(v\) and thus returns Yes for all nodes in \(H\). This contradicts the assumption that \((P, V)\) is correct for \(D\text{-Cycle}\).

Lemma 3. There is a \(D_2\text{-PVP}\) for \(D\text{-Cycle}\) with a proof size of \(\log n\) bits.

Proof. We describe a \(D_2\)-prover-verifier pair \((P, V)\) for \(D\text{-Cycle}\) as required. Let \(G = (V, E) \in D\text{-Cycle}\) and let \(C \subseteq V\) be the set of all nodes that are in a directed cycle. The prover \(P\) labels all nodes \(v \in V\) as follows. First, all nodes \(v_c \in C\) are labeled with \(\ell(v_c) = 0\). All other nodes \(v \in V\) are labeled regarding their distance to the closest cycle: The prover \(P\) sets \(\ell(v) = \text{dist}_C(v)\), where \(\text{dist}_C(v) = \min_{v_c \in C} \text{dist}(v_c, v)\). We refer to Figure 2 for an example. As the distance is bounded from above by \(n\), the maximum label size is \(\log n\) bits.

The verifier \(V\) returns Yes for nodes \(v_c\) with \(\ell(v_c) = 0\) if for the received pair \((I, O)\) of labels holds: There is a label of 0 in \(I\) and a label of 0 in \(O\). For the other nodes \(v \in V\), Yes is returned by \(V\) if a) there is an edge \((u, v)\) or \((v, u)\) such that \(\ell(v) = \ell(u) + 1\) and b) no edge \((u', v)\) or \((v, u')\) such that \(\ell(v) > \ell(u') + 1\). In all other cases, \(V\) returns No.
We now show that \( \mathcal{V} \) returns \( \text{Yes} \) for all nodes \( v \) in \( \text{Yes} \)-instances that were labeled by the prover \( \mathcal{P} \): The prover \( \mathcal{P} \) labeled only (and all the) nodes on a directed cycle with a 0, i.e., if \( \ell(v) = 0 \), then \( \mathcal{V} \) returns \( \text{Yes} \) for \( v \). The remaining case is \( \ell(v) = j > 0 \). If \( \ell(v) = 1 \), then \( \text{dist}_C(v) = j \), i.e., there exists a node \( u \in V \) such that \( \text{dist}_C(v) = \text{dist}_C(u) + 1 \) and no node \( u' \in V \) such that \( \text{dist}_C(v) > \text{dist}_C(u') + 1 \), as by the definition of \( \mathcal{P} \). Thus, \( \mathcal{V} \) returns \( \text{Yes} \) as well.

For the \( D_2 \)-PVP (\( \mathcal{P}, \mathcal{V} \)) to be correct, it is left to show that \( \mathcal{V} \) returns \( \text{No} \) for at least one node if the considered graph is not in D-Cycle. Analogously to the undirected case, let \( G_{\text{no}} \) be a weakly connected directed graph containing no directed cycle.

For contradiction, assume there would be a node \( v \in V(G_{\text{no}}) \) with \( \ell(v) = 0 \). Then there has to be a node \( v_1 \) with \( \ell(v)_1 = 0 \) such that there exists an edge \((v, v_1)\), else \( \mathcal{V} \) would return \( \text{No} \). This concept of “following the zero” can be iterated, but as the graph is finite (and does not contain a directed cycle), there will be a node \( v_j \) for which no node \( v_{j+1} \) with \( \ell(v_{j+1}) = 0 \) exists such that there is an edge \((v_j, v_{j+1})\). Hence, \( \mathcal{V} \) would return \( \text{No} \) and therefore no node can be labeled with 0 in \( G_{\text{no}} \).

An idea similar to following the zero can now be applied again: W.l.o.g., let \( v \) be a node with the label \( k \). There has to be an edge \((v, v_1)\) with \( \ell(v_1) = k - 1 \), else \( \mathcal{V} \) would return \( \text{No} \) for \( v \). Again, as the graph is finite and contains no cycle, following the outgoing edge to a decreasing label is no longer possible at some point. Thus \( \mathcal{V} \) will return \( \text{No} \) for any weakly connected directed graph not containing a cycle, meaning that the \( D_2 \)-PVP (\( \mathcal{P}, \mathcal{V} \)) is correct. \( \square \)

**Lemma 4.** The \( D_2 \)-PVP proof size for D-Cycle is at least \( \log \left( \frac{n-5}{2} \right) / 2 \) bits.

We establish Lemma 4 by showing that any \( D_2 \)-PVP (\( \mathcal{P}, \mathcal{V} \)) with a smaller proof size can be fooled. To that end, we apply \( \mathcal{P} \) to a \( \text{Yes} \)-instance \( G \). We then use the labels applied by \( \mathcal{P} \) to construct a \( \text{No} \)-instance \( H \) for which \( \mathcal{V} \) must return \( \text{Yes} \).

Our construction relies on a graph \( G \), obtained from an undirected path by alternating the edge directions, and creating a cycle with the last two nodes (see Figure 3 for an illustration).

If the proof size is at most \( \log \left( \frac{n-5}{2} \right) / 2 - 1 \) bits, then less than \( \sqrt{n - 5/2} \sqrt{2} \) different labels are available. Thus, in \( G \) a pair of adjacent labels A, B on the path will appear twice. Moreover, the nodes labeled A have only outgoing edges, and conversely, the nodes labeled B have only incoming edges. We obtain the acyclic \( \text{No} \)-instance \( H \) by copying the pairs of nodes, and connecting them as depicted in Figure 3. This construction ensures that for all nodes \( u \) in \( H \), there is a corresponding node \( v \) in \( G \) with \( (\ell(u), D_2(u)) = (\ell(v), D_2(v)) \). Therefore, the verifier \( \mathcal{V} \) returns \( \text{Yes} \) for all nodes in \( H \).

**Proof.** Assume, for the sake of contradiction, there exists a \( D_2 \)-PVP (\( \mathcal{P}, \mathcal{V} \)) for D-Cycle using \( \log \left( \frac{n-5}{2} \right) / 2 - 1 \) bits. Let \( G \) be the path \( v_1, \ldots, v_{n-2} \) with \( n-2 \) nodes and alternating edge directions, connected at \( v_{n-2} \) to the cycle \( v_n, v_{n-1} \) (which consists of just two nodes). The graph \( G \) is a \( \text{Yes} \)-instance of
Figure 3: Yes-instance $G$ (with odd $n$) and No-instance $H$ of D-Cycle. $G$ consists of the $n$ nodes $v_1, \ldots, v_n$. For even $k$, node $v_k$ has two incoming edges from $v_{k-1}$ and $v_{k+1}$, whereas all $v_k$ with odd $k$ have two outgoing edges to $v_{k-1}$ and $v_{k+1}$, i.e., the edge directions alternate. The prover $P$ assigns the labels $\ell(v_i) = \ell(v_j) = A$ and $\ell(v_{i+1}) = \ell(v_{j+1}) = B$ to the corresponding nodes in $G$. In $H$, the nodes $u_{i+2}, \ldots, u_{j+1}$ are copies of $v_i, \ldots, v_j$ from $G$, and the nodes $u_i', u_{i+2}', \ldots, u_{j+1}'$ are obtained by copying (again) the nodes $v_{i+2}, \ldots, v_{j-1}$.

Note that $H$ does not contain a cycle, but due to our construction each $u \in V(H)$ has a corresponding node $v \in V(G)$ with $(\ell(u), D_2(u)) = (\ell(v), D_2(v))$.

By Lemmas 2 to 4. The next two lemmas cover the undirected case (iii).
collection of DAGs in the directed case), one can save quite a few bits in the labels for the remaining nodes. For each tree, \( P \) picks a root node \( r \) that was originally adjacent to a cycle. In each tree, all nodes are labeled with their distance to \( r \) modulo 3. An example of a graph \( G \) labeled by \( P \) is depicted in Figure 4.

![Figure 4: A labeled Yes-instance of U-Cycle. Nodes in cycles are labeled 3. The remaining nodes form a forest. After picking a root node adjacent to a cycle for each tree in the forest, all nodes in a tree are labeled with their distance (modulo 3) to the corresponding root.](image)

The correctness of the PVP is established in a similar manner as in the directed case: The verifier \( V \) can then check if each node supposedly on a cycle (label 3) has at least two neighbors in a cycle, and if every other node (label \( \neq 3 \)) has exactly one node “closer” to the root node of its tree. If \( G \) is acyclic, then there can be no node with label 3, as all nodes with a label of 3 would form a forest with at least one leaf. Assume for the sake of contradiction that the verifier returns Yes for all nodes, and consider any node in some acyclic graph \( G \). The path obtained by following the labels in descending order (modulo 3), i.e., going towards the root, must have infinite length, since there is no node adjacent to a cycle to break the succession.

**Proof.** We describe a \( U \)-prover-verifier pair \((P, V)\) as required. Let \( G = (V, E) \in U\text{-Cycle} \). The prover \( P \) labels all nodes \( v \in V \) as follows: If \( v \) is part of a cycle, then \( \ell(v) = 3 \). By removing all nodes (and incident edges) that belong to a cycle, the graph decomposes into a set of Trees \( \mathcal{T} \). Each tree \( T \in \mathcal{T} \) is labeled by first picking a node \( r \in T \) that was originally adjacent to a cycle and setting \( \ell(r) = 0 \). Then, for each other node \( t \in T \) let \( \text{dist}_T(r, t) \) be the distance from \( r \) to \( t \) in \( T \) and set \( \ell(t) = \text{dist}_T(r, t) \mod 3 \). An example for the labeling can be found in Figure 4. As only the labels \( \{0, 1, 2, 3\} \) are used, 2 bits suffice.

The verifier \( V \) returns Yes for nodes \( v \) with a) at least two neighbors have a label of 3 if \( \ell(v) = 3 \) or b) if \( \ell(v) = j \), \( j \in \{0, 1, 2\} \), then the following three conditions must be fulfilled: i) There is no neighbor with a label of \( j \), ii) There is exactly one neighbor with a label of \( j - 1 \) if \( j \in \{1, 2\} \) or at most one neighbor with a label of 2 if \( j = 0 \), and iii) all other neighbors must have a label of exactly \( j + 1 \mod 3 \) or 3. In all other cases, \( V \) returns No. If a node \( v \) is part of an undirected cycle (hence, \( \ell(v) = 3 \)), then it has at least two neighbors in the cycle with the label 3, meaning that \( V \) returns Yes for \( v \). Else, consider the tree \( T \in \mathcal{T} \) from above with \( v \in T \) with the corresponding “root” node \( r \) picked
by the prover. If \( v = r \), then all neighbors in \( T \) have the label 1 and all other neighbors (of whom at least one exists) are on cycles with a label of 3. Thus, \( V \) outputs \( \text{Yes} \) for \( v = r \). If \( v \in T \) and \( v \neq r \), then all neighbors \( v' \) of \( v \) in \( T \) are labeled according to \( \ell(v') = \text{dist}_T(r, v') \mod 3 \). All other neighbors (if any exist) of \( v \) in \( G \) must be on cycles with a label of 3. Hence, \( V \) returns also \( \text{Yes} \) in this case.

For the \( U \)-prover-verifier pair \((P, V)\) to be correct, it is left to show that \( V \) returns \( \text{No} \) for at least one node if the considered graph is not in \( U\text{-Cycle} \). Let \( G_{no} \) be a connected undirected graph containing no cycle.

Assume there would be a node \( v \in V(G_{no}) \) with \( \ell(v) = 3 \). Consider all nodes with a label of 3 in \( V(G_{no}) \): As there is no cycle, the subgraph(s) induced by these nodes form a forest \( \mathcal{F} \). Let \( T \in \mathcal{F} \) be the tree with \( v \in T \). Pick a leaf of \( T \): It has at most one neighbor with a label of 3, meaning that \( V \) will return \( \text{No} \) for at least one node.

Thus, no node \( v \) with \( \ell(v) = 3 \) can exist. Now, pick any node \( v \in V(G_{no}) \) with \( \ell(v) \in \{0, 1, 2\} \). Node \( v \) (and also any other node in \( V(G_{no}) \)) must have exactly one neighbor \( v_1 \) with a label of \( \ell(v_1) = \ell(v) - 1 \mod 3 \), as else \( V \) would return \( \text{No} \) for \( v \). Consider the path starting from \( v \) that picks as its next node the unique neighbor with a label smaller by one modulo 3, i.e., \( v, v_1, \ldots, - \) until no such node exists any more. Since \( G_{no} \) is cycle-free, the path must be finite and end at some node \( v_j \). As \( v_j \) has no neighbor with a label of 3 or a label of \( \ell(v_j) - 1 \mod 3 \), the verifier \( V \) returns \( \text{No} \) for \( v_j \). Thus \( V \) will return \( \text{No} \) for any connected graph not containing a cycle, meaning that the \( U\text{-PVP} \) \((P, V)\) is correct.

\textbf{Lemma 6.} The \( U\text{-PVP} \) proof size for \( U\text{-Cycle} \) is at least 2 bits.

\textit{Proof.} The proof is by case distinction. Assume there exists a \( U\text{-PVP} \) \((P, V)\) for \( U\text{-Cycle} \) using 1 bit. We use a \( \text{Yes}\)-instance \( G = (V(G), E(G)) \) of \( U\text{-Cycle} \) consisting of a cycle with three nodes with a path \( P \) of three nodes attached to it. We will show that for any labeling \( \ell \) assigned to the nodes on the path \( P \), for which \( V \) returns \( \text{Yes} \) for all nodes in \( G \), there exists a \( \text{No}\)-instance \( H = (V(H), E(H)) \) of \( U\text{-Cycle} \) for which \( V \) must also return \( \text{Yes} \) for all nodes in \( H \). W.l.o.g. consider the four cases in Figure 5.

These four cases combined with their analogous inversions, where all labels are switched on the path \( P \), present all combinations of how labels can be assigned to the nodes on the path \( P \). For every \( \text{Yes}\)-instance \( G \) there exists a \( \text{No}\)-instance \( H \) such that for each \( v_H \in V(H) \) there is a \( v_G \in V(G) \) with \((\ell(v_H), U(v_H)) = (\ell(v_G), U(v_G))\). Since \( V \) can not differentiate between \( v_H \) and \( v_G \) it must also return \( \text{Yes} \) for all nodes in the corresponding \( \text{No}\)-instance, which contradicts that \((P, V)\) is correct. It follows that there is no correct proof labeling scheme \((P, V)\) using only 1 bit.

We note that one could deviate from the notion of studying the label size in bits, by studying how many different labels are sufficient and necessary. E.g., for \( U\text{-Cycle} \), we showed that 1 bit does not suffice, but 2 bits are sufficient, raising the question of studying three different labels, using \( \approx 1.58 \ldots \) bits at
Figure 5: Yes-instances $G_1, G_2, G_3, G_4$ and No-instances $H_1, H_2, H_3, H_4$ of U-Cycle. For any labeling assigned to the Yes-instances, there exists a No-instance for which $V$ must return Yes for all nodes. In these graphs, the labels for the nodes in the cycle can be chosen arbitrarily. The numbers in the remaining nodes are their labels. All labels in this figure can be inverted to get the remaining 4 possible combinations for a labeling.

2.2. Acyclicity

In the undirected case, an acyclic graph is nothing but an undirected tree. The question of detecting undirected trees was already answered in [35] (see Section 2.3). In the directed case, however, not every acyclic graph is necessarily a tree. Let D-Acyclic denote the set of all weakly connected directed acyclic graphs. In the remainder of this section we establish the following:

**Theorem 7.** For the acyclicity detection problem, it holds that

(i) The $D_1$-proof size for D-Acyclic is $\Theta(\log n)$ bits.
(ii) The $D_2$-proof size for D-Acyclic is $\Theta(\log n)$ bits.

While not every directed acyclic graph is a directed tree, the converse holds, i.e., every directed tree is a directed acyclic graph. Techniques similar to those used by Korman et al. [35] can be used to obtain the claimed lower bound for tree detection in our model. Hence, we only need to establish the upper bounds in Theorem 7. Note that any $D_1$-PVP immediately yields a $D_2$-PVP with the same proof size by simply ignoring the information obtained via outgoing edges. It is therefore sufficient to find a $D_1$-PVP with the desired proof size.

**Lemma 8.** There is a $D_1$-PVP for D-Acyclic with a proof size of $\log n$ bits.

In the proof, the prover assigns each node with no incoming labels the label 0, and each other node the highest incoming label plus one. We refer to Figure 6 for illustration.

Thus, each node with label $j > 0$ can check if there is an incoming label $j - 1$, or when $j = 0$, if the multiset of incoming labels is the empty set. As each No-instance contains a cycle, a node with the highest label in the cycle would send its label to another node, causing this node to output No.
Figure 6: A labeled Yes-instance of D-Acyclic. Nodes without incoming edges are labeled 0, all other nodes have a label that is equal to the highest incoming label plus 1.

Proof. We describe a $D_1$-prover-verifier pair $(P, V)$ as required. Let $G = (V, E) \in D$-Acyclic and let $V_0 \subseteq V$ be the set of all nodes $v_0 \in V$ with $D_1(v) = []$, i.e., $v_0$ has zero incoming edges. The prover $P$ labels all nodes $v \in V$ as follows. a) All nodes $v_0 \in V_0$ have the label $\ell(v_0) = 0$, and b) for all other nodes $v_+ \in V$ holds: $\ell(v_+) = 1 + \max_{(u,v_+) \in E} \ell(u)$. We refer to Figure 6 for an example. As a label $i$ requires a label $i - 1$ to exist, the highest label is bounded from above by $n$, inducing a maximum label size of $\log n$ bits.

The verifier $V$ returns Yes for nodes $v$ with a) $D_1(v) = []$ if $\ell(v) = 0$ or b) $\ell(v) = 1 + \max_{(u,v) \in E} \ell(u)$ if $D_1(v) \neq [].$ In all other cases, $V$ returns No. Thus, the verifier returns Yes for all nodes in $V$ if $G$ was labeled by $P$, as all incoming labels are available to the verifier.

For the $D_1$-prover-verifier pair $(P, V)$ to be correct, it is left to show that $V$ returns No for at least one node if the considered graph is not in D-Acyclic. Let $G_c$ be a weakly connected directed graph containing a directed cycle $C = v_1, v_2, \ldots, v_{|C|}, v_1$. W.l.o.g., let $v_i \in C$ be a node with the highest labeling in $C$. Consider the outgoing edge from $v_i$ in $C$: The corresponding neighbor of $v_i$ in $C$ cannot have a higher label than $v_i$. Thus $V$ will return No, meaning that the $D_1$-PVP $(P, V)$ is correct. \hfill $\square$

2.3. Trees

Let U-Tree denote the set of all undirected trees. Let correspondingly D-Tree denote the set of all weakly connected directed trees in which all edges are directed away from some unique root node.

Theorem 9 ([35]). For the tree detection problem, it holds that

(i) The proof size for U-Tree is $\Theta(\log n)$ bits.

(ii) The $D_1$-proof size for D-Tree is $\Theta(\log n)$ bits.

(iii) The $D_2$-proof size for D-Tree is $\Theta(\log n)$ bits.

While the authors of [35] assumed port numbers to be available, the PLS used in the upper bound construction do not make use of them. Therefore, the upper bound claims (i) and (ii) carry over to our model. Since their port numbering model is strictly stronger than ours, the same is true for the lower bounds. Naturally, upper bounds for $D_1$-proof sizes carry over to the $D_2$-case, so the only thing that is left is to show that there exists no $D_2$-PVP with a proof size of $o(\log n)$ bits. Since the counter example construction to establish this claim are very similar to the construction used in [35], we omit the details here.
3. Port Numbers vs. s-t Reachability

As pointed out by Göös and Suomela in [29], “To ask meaningful questions about connectivity […] we have the promise that there is exactly one node with label s and exactly one node with label t.” In this section, we thus assume that all graphs have at least two nodes, of which one node has the unique label s and another node has the unique label t. It is known that in the undirected case, the U-proof size for s-t reachability is 1 bit, see Section 1. In the directed case, on which we focus, a non-trivial lower bound remained an open question [29]. For that, let s-t REACHABILITY denote the set of all directed graphs containing a directed path from s to t.

We show a lower bound for s-t REACHABILITY with one-way communication by combining our previously used techniques. The upper bound for the two-way case requires a new insight: As it turns out, port numbers can be emulated in our model by implementing a 2-hop coloring with only \(O(\log \Delta)\) bits\(^4\). Then, whenever a port number is required for some proof, we only need to pay at most \(O(\log \Delta)\) bits. While this seems like a high price to pay, we note that referring to a specific port number requires \(O(\log \Delta)\) bits even if the port numbering itself is provided for free. We will later see how this applies in the case of two-way s-t REACHABILITY (cf. [29]). In the remainder of this section we establish the following theorem:

**Theorem 10.** For the s-t reachability problem, it holds that

(i) The \(D_1\)-proof size for s-t reachability is \(\Theta(\log n)\) bits.

(ii) The \(D_2\)-proof size for s-t reachability is at most \(O(\log \Delta)\) bits.

To see that s-t REACHABILITY permits a \(D_1\)-PVP with a proof size of \(O(\log n)\), observe that the nodes on the path can simply be enumerated, cf. Figure 7.

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\[^4\]For more applications of 2-hop colorings in anonymous networks we refer to the recent article of Emek et al. [17].
end in a node without a predecessor, since the graph is finite and \( s \) and \( t \) are not connected.

**Lemma 11.** There is \( D_1 \)-PVP for \( s-t \) reachability with a proof size of \( O(\log n) \) bits.

**Proof.** We describe a \( D_1 \)-prover-verifier pair \((\mathcal{P}, \mathcal{V})\) as required. Let the directed graph \( G = (V, E) \in s-t \) reachability and let \( P = s, v_1, \ldots, v_j, t \) be a shortest directed path from \( s \) to \( t \). The prover \( \mathcal{P} \) labels all nodes \( v \notin P \) with \( \ell(v) = 0 \) and each node \( v_i \in P = s, v_1, \ldots, v_j, t \) with \( \ell(v_i) = i \), i.e., \( \ell(v_i) = \text{dist}(s, v_i) \) by definition of \( P \). We refer to Figure 7 for illustration. As \( \text{dist}(s, t) \leq n \), \( \ell(s) = s \), and \( \ell(t) = t \), the proof size is in \( O(\log n) \) bits.

The verifier \( \mathcal{V} \) returns \text{Yes} for all nodes \( v \) with a label of 0 and for the node \( s \) with unique label \( \ell(s) = s \). For the node \( t \) with the unique label \( \ell(t) = t \), \( \text{Yes} \) is returned if a) the label \( s \) is received, or b) if a label greater than zero is received. \( \mathcal{V} \) returns \text{Yes} for all other nodes \( v \) with label \( \ell(v) = i > 1 \), if one of the received labels is \( i - 1 \). For the special case of \( \ell(v) = 1 \), one of the received labels has to be \( s \).

Thus, the verifier will return \text{Yes} at all nodes for \text{Yes}-instances labeled by \( \mathcal{P} \): The nodes \( v \) with \( \ell(v) = 0 \) and \( s \) return \text{Yes}. Furthermore, as each other node is on the path \( P = s, v_1, \ldots, v_j, t \), they have a predecessor on the path with the desired label, and hence return \text{Yes} as well.

It is left to show that \( \mathcal{V} \) returns \text{No} for at least one node if the graph \( G \) is not in \( s-t \) reachability. Let \( H \) be a \text{No}-instance of \( s-t \) reachability, i.e., there is no directed path from \( s \) to \( t \). Consider the set \( Z \) of nodes that can be reached from \( s \) by traversing directed edges in the reverse direction to a node with a label lower by exactly one, or in the case of \( t \), with any label greater than zero. Note that by definition of \( H \), there is no node \( v' \in Z \) such that there is an edge \((s, v') \in H(E)\). Let \( v^* \) be a node with the lowest label \( \ell(v^*) = x \) in \( Z \). As \( v^* \) cannot receive a label \( x - 1 \) or the label \( s \), \( v^* \) will return \text{No}. Hence, the described \( D_1 \)-PVP \((\mathcal{P}, \mathcal{V})\) is correct.

**Lemma 12.** The \( D_1 \)-PVP proof size for \( s-t \) reachability is at least \( \log \left( \frac{n}{4} \right) - 2 \) bits.

**Proof.** Assume, for the sake of contradiction, that there is a \( D_1 \)-PVP \((\mathcal{P}, \mathcal{V})\) for \( s-t \) reachability with a proof size of \( \log(n/4) - 3 \) bits. Let \( n \) be odd and let \( G \) be the directed path \( P = v_1, \ldots, v_n \) where \( v_1 = s \) and \( v_n = t \). We add to \( G \) directed edges so that all nodes \( v_k \) with \( n > k > \lceil n/2 \rceil \) have an outgoing edge to \( v_{k - \lceil n/2 \rceil + 1} \), as depicted in Figure 8.

We note that \( G \) is a \text{Yes}-instance for \( s-t \) reachability, and that there is only one simple path from \( s \) to \( t \) in \( G \). Like above, we now apply \( \mathcal{P} \) to \( G \) and use the obtained labels \( \ell \) to construct a \text{No}-instance \( H \) with a labeling \( \ell' \). The construction ensures that for every node \( u \) in \( H \), there is a node \( v \) in \( G \) with \((\ell'(u), D_1(u)) = (\ell(v), D_1(v))\).

With an argument analogous to that in the proof of the previous Lemma 11, we will first show that there are \( i \neq j \), with \( \lceil n/2 \rceil + 2 \leq i \leq n - 4 \) and \( i + 2 \leq
\[ j \leq n - 2, \text{ such that } \ell(v_i) = \ell(v_j). \]

In other words, we are looking for two non-adjacent nodes \( v_i \) and \( v_j \) that are within the second half of the path \( P \), and \( v_i \) comes before \( v_j \) on \( P \). Suppose that there are no such \( v_i, v_j \). Consequently, \( \ell([v_{\lceil \gamma/2}]+2) \) must be different from the label of each node in \( \{v_{\lceil \gamma/2]+4}, \ldots, v_{n-2}\} \).

By induction, if \( v_i, v_j \) with the desired properties do not exist, then there need to be at least \( \lceil n/4 \rceil - 2 \) different labels on the sub-path \( v_{\lceil \gamma/2]+2}, \ldots, v_{n-2} \). This is a contradiction to the assumption that the proof size is limited to \( \log(n/4) - 3 \) bits, and we conclude that such nodes \( v_i, v_j \) must be present.

To complete our proof of Lemma 12, we now construct the (weakly connected) No-instance \( H \) and the labeling \( \ell' \). For that, let \( v_i \) and \( v_j \) be the two nodes in \( G \) with \( \ell(v_i) = \ell(v_j) \) as above. We denote by \( x \) the node \( v_{j+[\gamma/2]+1} \) in the first half of \( P \) with an incoming edge from \( v_j \). To construct \( H \) and \( \ell' \), we first copy the graph \( G \) including the labels assigned by \( \ell \). We then replace the edges \((v_i, v_{i+1})\) and \((v_j, x)\) by \((v_i, x)\) and \((v_j, v_{i+1})\) (see Figure 9). Note that in \( H \), the two distinguished nodes \( s \) and \( t \) are no longer connected by a directed path.

It is left to show that \( \mathcal{V} \) will return \text{Yes} for all nodes in \( H \) when labeled with \( \ell' \). Note that in \( H \), the only nodes that were changed in some way in comparison to \( G \) were \( v_i, v_{i+1}, v_j \) and \( x \). However, all four nodes still have the same labels, and the incoming edges were changed only for \( x \) and \( v_{i+1} \). As it

\[ \text{Figure 8: The Yes-instance } G \text{ of } s-t \text{ reachability used in our proof of Lemma 12. Nodes } v_j \text{ with } j > [\gamma/2] \text{ have an outgoing edge to } v_{j-[\gamma/2]+1}. \text{ Note that } G \text{ contains only one simple } s-t \text{ path.} \]

\[ \text{Figure 9: Yes-instance } G \text{ of } s-t \text{ reachability labeled by } \mathcal{P}, \text{ and the corresponding No-instance } H \text{ for } s-t \text{ reachability. Some label } A \text{ appears twice on the } s-t \text{ path, namely at the nodes } v_i \text{ and } v_j. \text{ Since } v_j \text{ is at least two steps after } v_i \text{ and has an outgoing edge to a node } x \text{ before } v_i, \text{ the No-instance } H \text{ for which } \mathcal{V} \text{ fails can be constructed. For the sake of simplicity not all edges are shown.} \]
holds that $\ell'(v_i) = \ell'(v_j)$, it follows that $D_1(v_{i+1})$ is the same in $G$ and in $H$, equivalently for $D_1(x)$. Thus, since the verifier $V$ returned $\text{Yes}$ for all nodes in $G$, $V$ must also return $\text{Yes}$ for all nodes in $H$, contradicting that $(P, V)$ is correct.

We note that the construction in the proof of Lemma 12 has constant degree in every node. Therefore, there cannot be a $D_1$-PVP for which the proof size depends only on $\Delta$. The missing part to establish Theorem 10 is a $D_2$-PVP for $s$-$t$ reachability.

The PVP for this problem as proposed by [29] relies on the nodes’ ability to “point to an edge” by using its port number. The authors suggest to mark an $s$-$t$ path $P$ by simply pointing to the edges used by $P$. We argue that one can point to an edge in our models $U$ and $D_2$, even though port numbers are not available. To that end, we enrich the labels to include a 2-hop coloring of the graph $G$, i.e., a coloring (node labeling) such that the label of each node $v$ is unique among all nodes with distance at most 2. We denote this coloring by $c(v)$.

Since a 2-hop coloring requires at most $\Delta^2 + 1$ colors, each color can be encoded using $O(\log \Delta)$ bits. Moreover, the 2-hop coloring can be checked locally, since each node $v$ only needs to verify if $v$ and all its neighbors have different colors. A PVP can now rely on the fact that $v$’s color is unique among $u$’s neighbors.

To obtain a $D_2$-PVP for for $s$-$t$ reachability, a node $u \in V$ can now point to an edge $(u, v) \in E$ by referencing $c(v)$ in its label. In this way, the pointed-to edge is uniquely specified for both $u$ and $v$. Applying the same reasoning as in [29], we obtain the following lemma, which together with Lemmas 11 and 12 concludes our effort to establish Theorem 10.

**Lemma 13.** There is a $D_2$-PVP for $s$-$t$ reachability with a proof size of $O(\log \Delta)$ bits.

### 4. $s$-$t$ Reachability: Beyond a Single Round of Communication

In the last section, we combined our developed techniques to obtain the first non-trivial lower bound for the label size of directed one-way $s$-$t$ reachability that does not rely on descriptive complexity methods. In this section, we will show how our lower bound can be extended to models where more than a single round of communication is allowed. This idea is inspired by the model of Locally Checkable Proofs by Gőös and Suomela [29], where labels may be exchanged over a constant number of communication rounds.

To be more precise, we will show that relaying the labels of the in-neighbors over $k$ rounds (i.e., $k$ rounds of communication, with $1 \leq k \leq n$) reduces our lower bound on the label size proportionately to $k$. More formally, the following holds:

**Lemma 14.** The $D_1$-PVP proof size for $s$-$t$ reachability with $k$ rounds of communications is at least $\Omega\left(\frac{1}{k} \log n\right)$ bits.
Proof. We essentially use the same construction as in the proof of Lemma 12, but with one modification: In the graph used (cf. Figure 8) we replace each of the $\lceil n/2 \rceil - 2$ directed edges between the nodes $v_{\lceil n/2 \rceil + 1}, \ldots, v_{n-1}$ and $v_2, \ldots, v_{\lceil n/2 \rceil - 1}$ with directed paths of $k$ nodes. The new graph $G'$ has thus $n' = n + (\lceil n/2 \rceil - 2)k \in \Theta(nk)$ nodes.

To obtain the result of Lemma 14, we need to bound the number of bits $x$ of the label size s.t. there are two of these paths $v_1, \ldots, v_k$ and $v_1', \ldots, v_k'$ of length $k$ in $G'$ with exactly the same sequence of labels $\ell(v_1') = \ell(v_1), \ldots, \ell(v_k') = \ell(v_k)$. Thus, we will study the size of $x$ s.t. two labels of length $x$ exist among $\lceil n/2 \rceil - 2$ nodes. I.e., for what $x$ does $2^{kx} < \lceil n/2 \rceil - 2$ hold? For our purposes, $2^{kx} < n/2 - 2$ is sufficient. We obtain $kx < \log(n/2 - 2)$, resulting in a weakened bound of $x < \log n \log k - \log 2\frac{n}{k} \in \Omega\left(\frac{\log n}{k}\right)$.

As the number of nodes $n'$ in $G'$ is $\Theta(nk)$, $n \in \Theta(n'/k)$ holds. Due to logarithmic identities, $\Omega\left(1/k \log \left(\frac{n'}{k}\right)\right) \in \Omega\left(\log \frac{n'}{k}\right)$, yielding Lemma 14.

Hence, our results carry over for the model where a constant number of communication rounds is allowed.

Theorem 15. Let $k$ be any constant. The $D_1$-proof size for $s$-$t$ reachability with $k$ rounds of communications is $\Theta(\log n)$ bits.

For an upper bound beyond constant communication range, the construction for the proof of Lemma 14 can be modified as well, by labeling the nodes along a shortest directed $s$-$t$ path $P$ with a label size of $O(\log \left(\frac{n}{k}\right))$: The prover labels the first $k$ nodes on $P$ after $s$ with 1, the $k$ next nodes with 2, and so on. The verifier can be left nearly identical. We omit the details of the analogous proof:

Lemma 16. There is $D_1$-PVP for $s$-$t$ reachability with $k$ rounds of communications with a proof size of $O(\log \left(\frac{n}{k}\right))$ bits.

We note that there is still a gap between the upper and lower bounds of Lemma 14 and 16. An idea to close this gap would be to distribute the log $n$-size numbers over $k$ nodes, obtaining an upper bound of $O(\frac{1}{k} \log n)$. However, even keeping techniques such as padding and marking the “beginning/end” of a number in mind, an adversary can place back edges from nodes representing numbers closer to $t$ to a set of nodes representing some number $i$, tricking them into believing they are predated by some non-existent number $i - 1$. We believe however, that this idea might be of use in stronger models with “more strings attached”, such as (incoming) port numbers or unique identifiers.

5. Application to Network Updates in Software Defined Networks

While the current line of research on local checkability focuses extensively on its theoretical properties, the number of practical applications beyond verification of a proof is sparse to the best of our knowledge. E.g., as mentioned in the related work Subsection 1.1 Schmid and Suomela 44 use proof labeling schemes to verify spanning trees in networks. They make use of a recent
development in networking, so-called Software Defined Networks (SDNs) (cf., e.g., [14]), which resembles the model of local checkability in some sense: In SDNs, a (logically) centralized controller oversees the switches in the network itself, updating their behavior on how to deal with data packets. As such, the control and data plane of networks are decoupled, with the controller becoming the prover and the switches becoming the verifiers.

In this section, we will extend their line of work beyond the verification of spanning trees, to the consistent migration between spanning trees. We will use the notion of routing trees in this context, as their intended use is to perform routing to a fixed root of all trees. As such, a migration via updates is called consistent, if the spanning tree property is always maintained. I.e., no (temporary) forwarding loops are introduced in the process. More formally:

Let $G = (V, E)$ be a connected directed graph, with every edge $(u, v)$ also having a back edge $(v, u)$.

Let $T_1 = (V, E_1)$ and $T_2 = (V, E_2)$ be directed trees with a common root node $r \in V$ and $E_1, E_2 \subseteq E$. A node $v \in V$, $v \neq r$ changing its parent from the one in $T_1$ to the one in $T_2$ is called an update. An update is called consistent if the resulting graph is a directed tree rooted in $r$. A sequence of consistent updates resulting in $T_2$ is called a consistent migration.

We will first discuss the current state of the art of consistent migration between spanning trees in SDNs with a centralized controller in Subsection 5.1 and compare it with the paradigm of local checkability, before proposing a local migration scheme in Subsection 5.2.

5.1. Consistent Migration between Routing Trees in SDNs

With the central controller having the power to change the switches’ behavior for optimization purposes, the network itself is in a constant state of change, several hundred times per day in practice [31, 32]. As thus, another problem surfaces: The (temporal) breaking of the verified properties. Imagine all switches change from a routing tree $T_1$ for a destination $r$ to another routing tree $T_2$. If a node $u$ is the parent of $v$ in $T_1$, but $v$ is a parent of $u$ in $T_2$, then $u$ updating before $v$ temporarily breaks the tree property, inducing a loop, cf. Figure 10.

Figure 10: In the tree $T_1$ to the left, $v$ forwards all packets for $r$ to $u$, which in turn forwards all packets to $r$. This situation is flipped in $T_2$: $u$ forwards all packets for $r$ to $v$, which in turn forwards all packets to $r$. Should $u$ update before $v$, then the situation in the middle occurs: The graph is no longer a tree, and all packets loop between $v$ and $u$.

\[\text{I.e., we consider standard full-duplex networks.}\]
This asynchronous behavior is not a technicality, switches can take different times to apply updates (especially under load), or might even temporarily not be available to the controller \cite{30,33,36}. Hence, it is not possible to guarantee two updates taking place at exactly the same time, inducing an ordering of all updates. However, the controller may send out multiple updates in parallel, this subset of updates could be executed in any order though.

To guarantee consistency, the authors of \cite{3,19,21,38,40,46} pre-compute a (partial) update order, send out the individual updates (possibly multiple at once), and only proceed with the next set of updates if the previous one is confirmed. E.g., in the previously discussed example depicted in Figure 10, \( v \) could update before \( u \), always maintaining the tree property in the network. This involves an ongoing interaction with the central controller, unlike the local checkability paradigm, in which the prover sends out the proofs once, and is only involved again if the verification fails.

The methods of \cite{3,19,21,38,40,46} are inherently non-local however: Their algorithms check for updates that will not violate the tree property, but these updates can be anywhere in the network. Consider the example in Figure 11 where with the previously mentioned methods, the whole network can be updated in a few rounds of switch-controller interaction, but the nodes with new forwarding rules cannot decide with local communication whether it is safe to update or not.

![Figure 11: The consistent migration from \( T_1 \) to \( T_2 \) can be handled in two updates by a centralized controller: First, \( u \) updates, and then after \( u \) confirmed the update to the controller, in a second update, the controller tells \( u' \) to update. As the distance between the nodes \( u \), \( v \) and \( u' \), \( v' \) can be \( \Omega(n) \), non-local communication via necessary: Else, \( u' \) cannot know when it is safe to update without inducing a loop.

A different approach is taken in \cite{27,28}, which can be seen as analogous to the local checkability approach for acyclicity and trees: For the new tree \( T_2 \), label the root with (update) 0, then its children with (update) 1, and so on, labeling each node with an (update) number equivalent to its distance to the root of \( T_2 \). As \( T_2 \) could have a depth of \( n - 1 \in \Omega(n) \), they need \( \Omega(n) \) (or depth of \( T_2 \)) subsequent updates in the worst case. No faster algorithm (dependent on \( n \) or the tree depth) can exist either for the general case: Consider a degenerated

\( \)\footnote{I.e., in a constant number of rounds.}
tree $T_1$, where every node has at most one child. If the parent-child relation is flipped in $T_2$ (with the only leaf becoming the only child of the root), then $\Omega(n)$ (or depth of $T_2$) updates are required, cf., e.g., [38].

5.2. A Local Migration Scheme

As pointed out in Figure 11, the methods of, e.g., [19, 38, 40, 46], are not applicable for a local migration scheme. Thus, we will extend the scheme used by [27, 28], which has the same worst-case number of updates needed in SDNs. Every node should be able to decide locally if it can change its forwarding behavior to the new routing tree $T_2$.

We note that the nodes cannot verify if the new tree $T'$ is actually $T_2$, as the very nature of $T_2$ is decided upon by the central controller. An analogous case can be about identifiers, which is why we will adopt the model of Schmid and Suomela [44] and assume every node has a unique identifier $id(v)$ (of size $O(\log n)$). As thus, we will only make sure that the nodes update to the tree specified by the sent out labels. We will still maintain a tree property at all times, no matter what labels are sent by the controller.

Algorithm 17 (From the perspective of a node $v$).

Situation: Graph $G = (V, E)$ with the forwarding rules of the nodes forming a directed tree $T_1 = (V, E_1)$ with root $r \in V$. Every node $v \in V, v \neq r$ gets a label consisting of $id(p_2(v))$ of its parent $p_2(v)$ in $T_2$ and the depth $d_2(v)$ of $v$ in $T_2$.

If $p_2(v)$ sends depth $d_2(p_2(v)) = d_2(v) - 1$ or $p_2(v) = d$, and $v$ didn’t update yet:

Update forwarding rule to $p_2(v)$ and then send $d_2(v)$ to all neighbors.

Lemma 18. Algorithm 17 takes at most $n - 1$ updates.

Proof. $r$ will never update, and every other node will update at most once.

We will now prove that Algorithm 17 works as intended, if the labels are correct:

Lemma 19. Let the situation described in Algorithm 17 be correct. Then, executing Algorithm 17, the nodes $v \in V$ perform consistent updates, leading to a consistent migration from $T_1$ to $T_2$.

Proof. We will first prove that every update is consistent, before showing that a consistent migration to $T_2$ occurs.

Observe that initially, there is no loop in the network. Furthermore, note that during the whole execution of Algorithm 17, every node $v$ has either a forwarding rule pointing at its parent $p_1(v)$ in $T_1$ or a forwarding rule pointing at its parent $p_2(v)$.

Assume for the sake of contradiction that the update of the node $v'$ is the first occurrence of a loop in Algorithm 17. W.l.o.g., let this loop be $v' = v_0, v_1, v_2, \ldots, v_k, v' = v_{k+1}$. $v_0$ will only have updated to $v_1$ after $v_1$ has updated to $v_2$, with $v_1$ only updating to $v_2$ after $v_2$ has updated to $v_3$, and so on. As thus, for $1 \leq i \leq k + 1$, $v_i$ must be the parent of $v_{i-1}$ in $T_2$. This leads to the
desired contradiction: As $T_2$ is a tree, no loop $v' = v_0, v_1, v_2, \ldots, v_k, v' = v_{k+1}$ can exist in it. Hence, every update performed by Algorithm 17 is consistent.

As every update is consistent, Algorithm 17 performs a consistent migration, but it is left to show that the migration will reach $T_2$. Now, assume, again for the sake of contradiction, that at some point no node can update any longer, but the forwarding rules do not form $T_2$. If every node (except for $r$) has updated, then the forwarding rules form exactly $T_2$. Thus, let $v' \neq r$ be a node which has not updated yet. We can use similar reasoning to the paragraph above: If $v'$ has not updated yet, then $p_2(v')$ has not updated yet, which means in turn $p_2(p_2(v'))$ has not updated yet, and so on. As the graph is finite, this leads to the desired contradiction, as else a loop would need to exist.

However, the network could still end up in a loop if the new forwarding rules do not form a tree $T_2$, but contain some loop, due to the error of the controller. As we incorporated the depth of each node into its label, this will be prevented:

**Lemma 20.** Let the situation described in Algorithm 17 be correct, except that the new forwarding rules do not form a tree. Then, the updates performed by Algorithm 17 will still be consistent and not induce a loop.

**Proof.** W.l.o.g., assume for the sake of contradiction that the update of a node $v'$ is the first update of Algorithm 17 inducing a loop $v' = v_0, v_1, v_2, \ldots, v_k, v' = v_{k+1}$. As shown in the proof of Lemma 19, this loop must be exclusively induced by the new forwarding rules, which could be the case now as the new forwarding rules no longer have to form a tree. However, when updating, every node also checks if the label for the depth of the tree is smaller than its own by exactly one. Consider the smallest depth given as part of a labeling to a node $v_i$ in the loop $v' = v_0, v_1, v_2, \ldots, v_k, v' = v_{k+1}$. When $v_i$ updated, it checked the depth of its parent to be exactly one smaller than its own. However, as $v_i$ has the smallest depth in the loop, this is a contradiction: $v_i$ would not have updated. \hfill \Box

### 5.3. Further Applications in SDNs

The loop freedom of forwarding rules is just one of many consistency properties to be considered when performing changes in the behavior of switches of Software Defined Networks via the controller, cf. [20]. We envision that local updates for other consistency properties can be developed as well, e.g., for black hole freedom [19], per-packet consistency [15, 42], waypoint enforcement [37, 39, 40], and bandwidth capacity constraints for network flows [12, 13, 31, 33].

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